

**Coupling from the Atmosphere  
to Geospace in Antarctica**

**Xinzhao Chu**

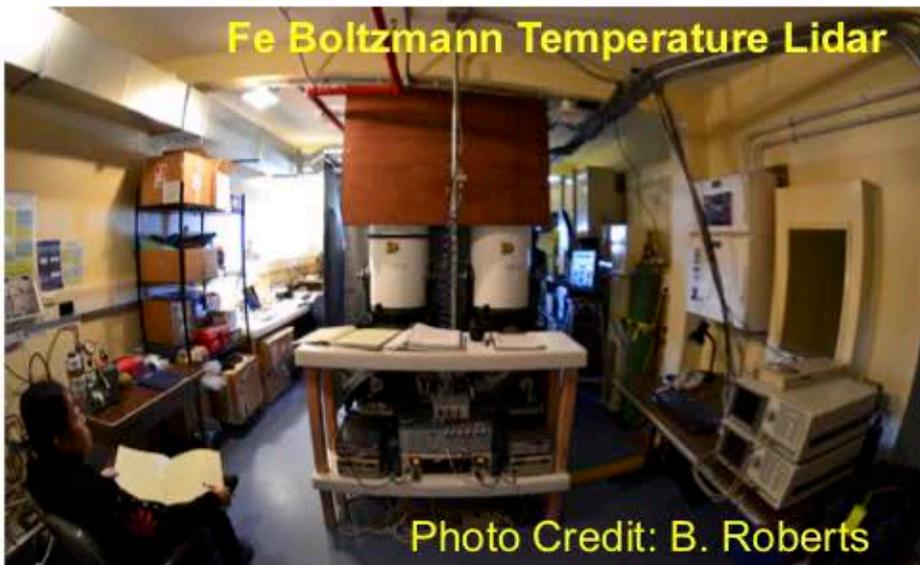
**University of Colorado Boulder**

**CEDAR Prize Lecture 2019**

**June 18, 2019 @ Santa Fe**

**Credit: Danny Hampton, Ian Geraghty, and Zimu Li**

# McMurdo Fe Lidar Observations Since Dec. 2010



**McMurdo lidar projects supported by NSF grants OPP-0839091, 1246405, and 1443726**

# STAR Na Doppler Lidar Added in Jan 2018

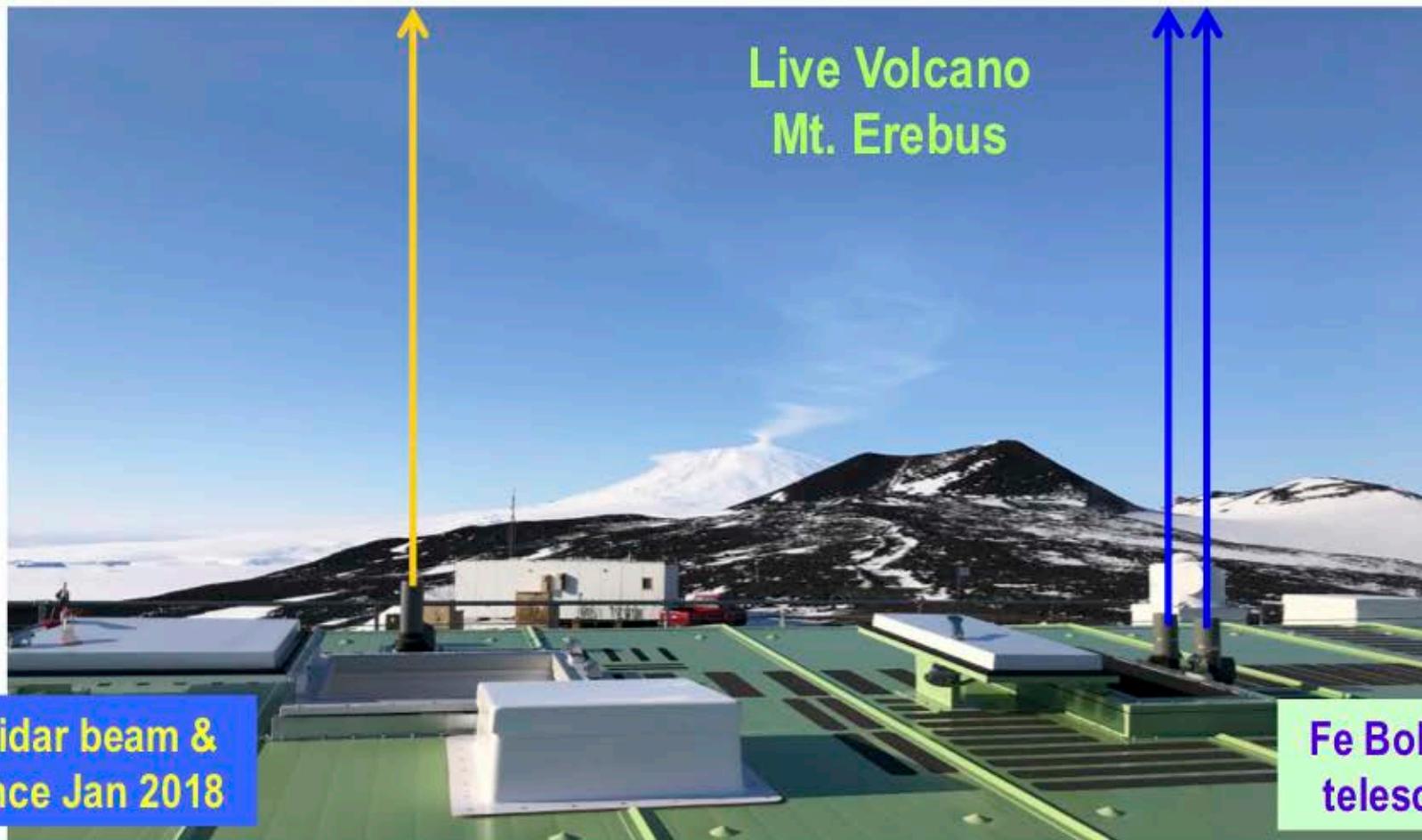


Credit: Danny Hampton, Ian Geraghty, and Zimu Li

By making high-precision laser spectroscopy in space, the neutral temperature, line of sight wind, and Na density are measured simultaneously via detecting the Doppler broadening and bulk Doppler shift of Na D<sub>2</sub> absorption line.

McMurdo lidar projects supported by NSF grants OPP-0839091, 1246405, and 1443726

# Simultaneous & Common-Volume Observations with Na Doppler and Fe Boltzmann Lidars at McMurdo

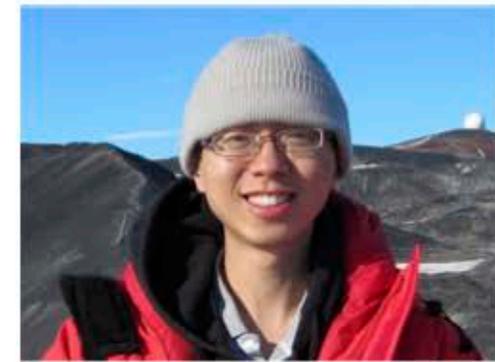


Na Doppler lidar beam & telescope since Jan 2018

Fe Boltzmann lidar beams & telescopes since Dec 2010

Arrival Heights Lidar Observatory on Ross Island, Antarctica  
Shooting laser beams at 589, 374 and 372 nm to probe Na and Fe metals,  
& profile temperatures, vertical winds, and various waves, etc.

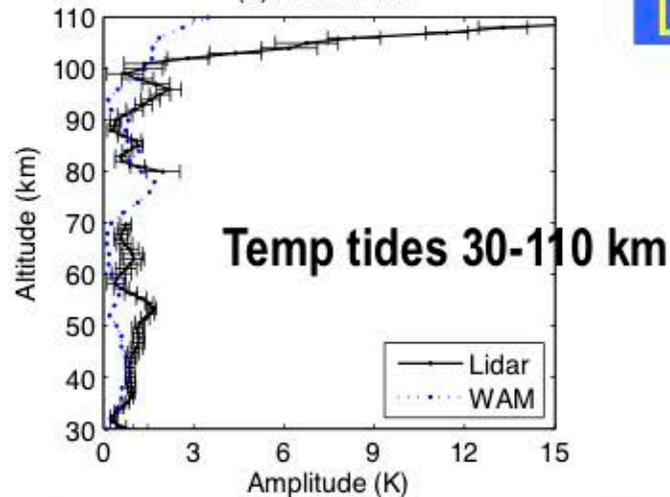
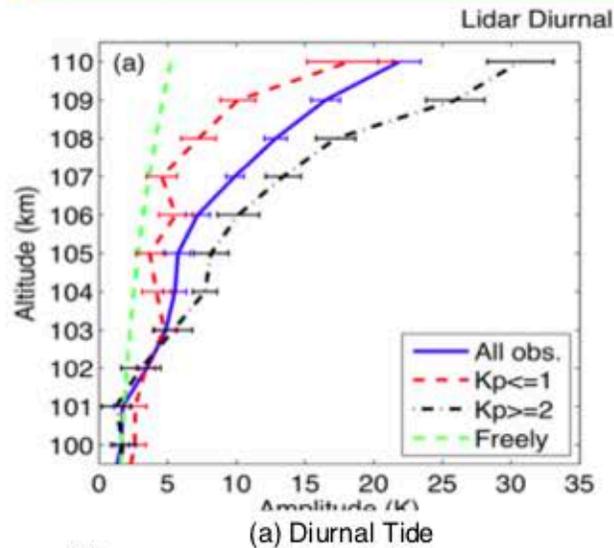
# Lidar Discovery of Aurora Effect on Fast Amplitude Growth of Temperature Tides in the Thermosphere (Uniqueness of McMurdo: By the Edge of Polar Cap)



Dr. Weichun Fong

Winter-over 2013

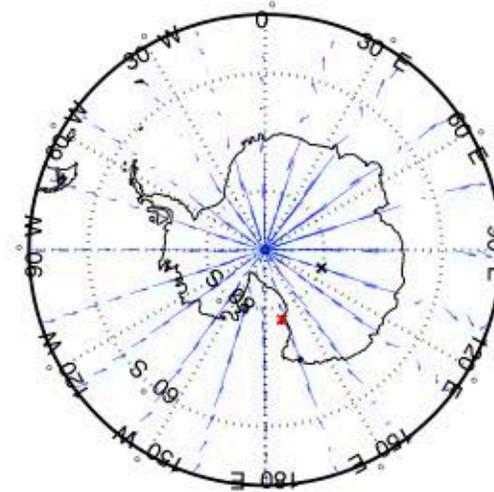
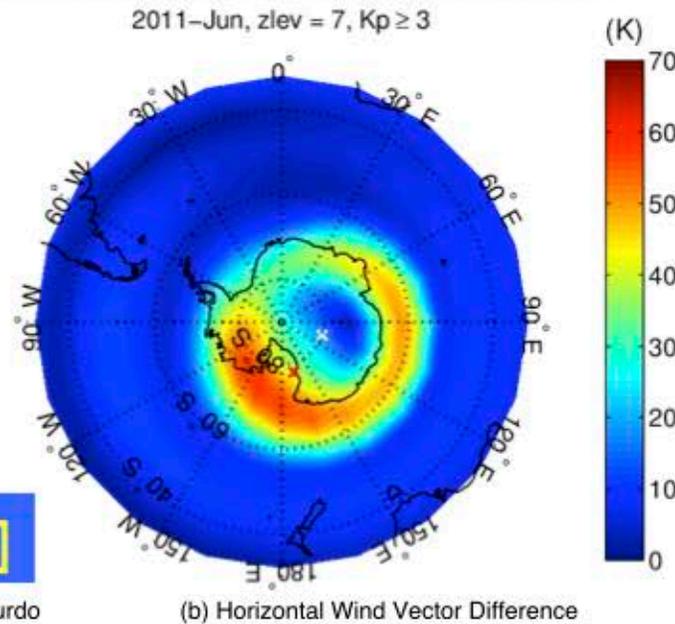
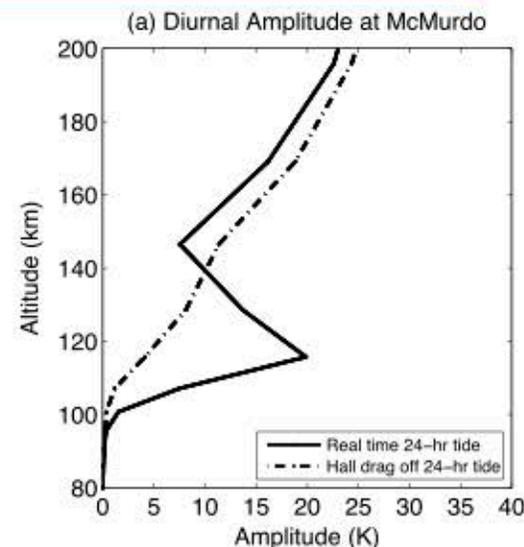
First Place Prize 2015  
CEDAR Students  
Poster Competition



[Fong et al., JGR, 2014]

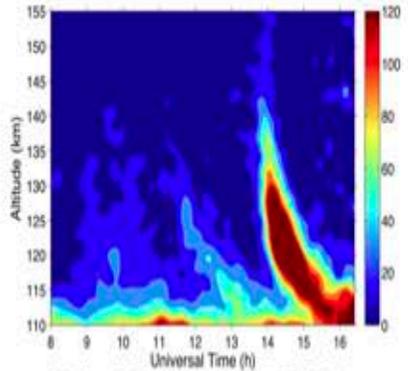
Hall-ion-drag induced  
adiabatic  
heating/cooling is  
responsible, tested by  
CTIPE model  
**Dr. Fuller-Rowell and  
Dr. Art Richmond**

[Fong et al., GRL, 2015]

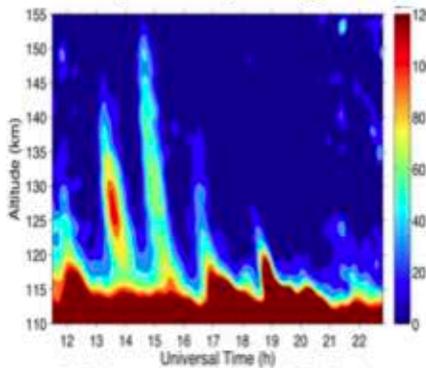


# Lidar-Discovered Thermosphere-Ionosphere Fe Layers (TIFe) Correlated to Solar and Geomagnetic Storms

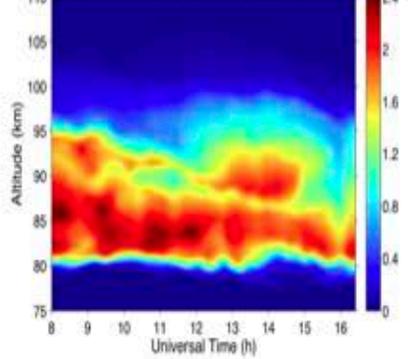
Fe Density on 2 May 2011 @ McMurdo



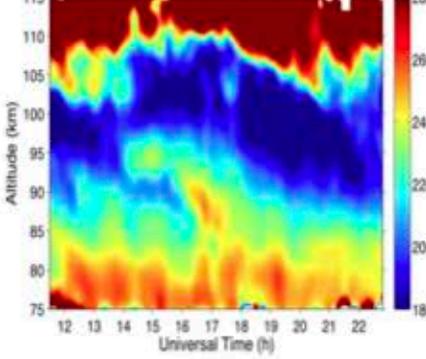
Fe Density on 28 May 2011 @ McMurdo



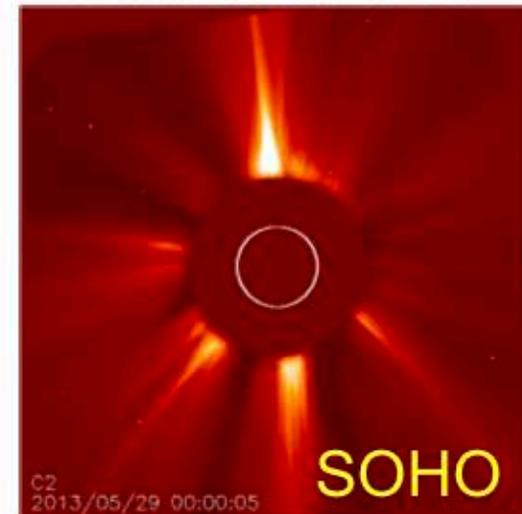
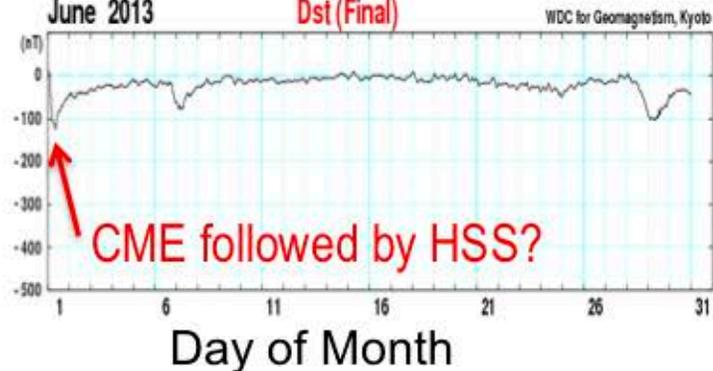
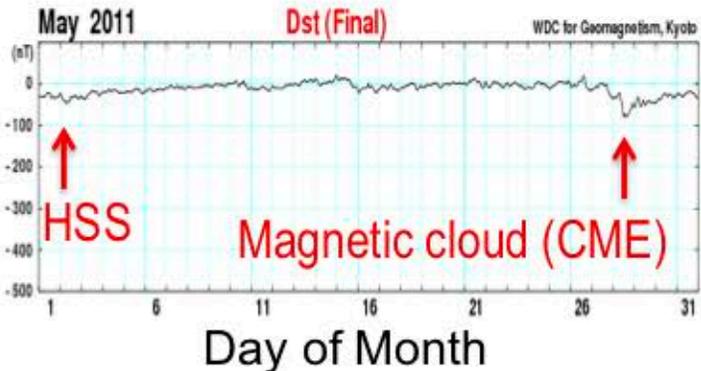
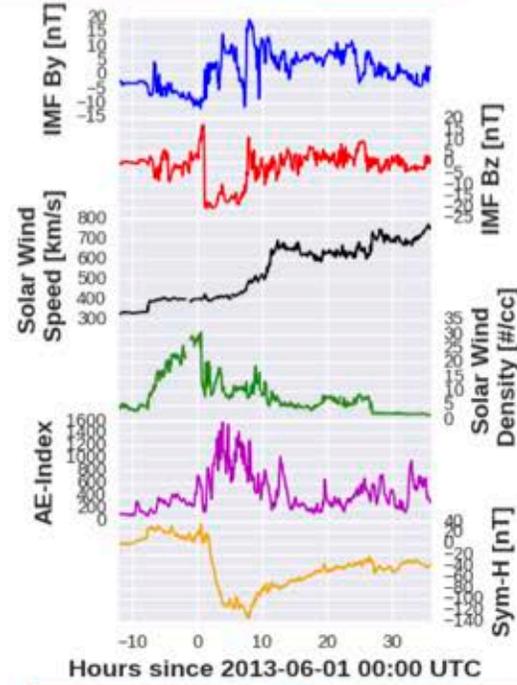
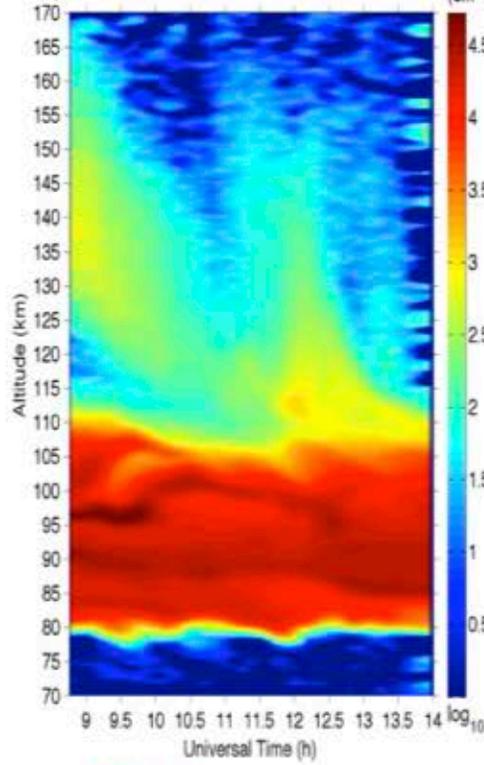
(b) 372-nm Fe Density on 02 May 2011 @ McMurdo



(b) Fe Temperature on 28 May 2011 @ McMurdo

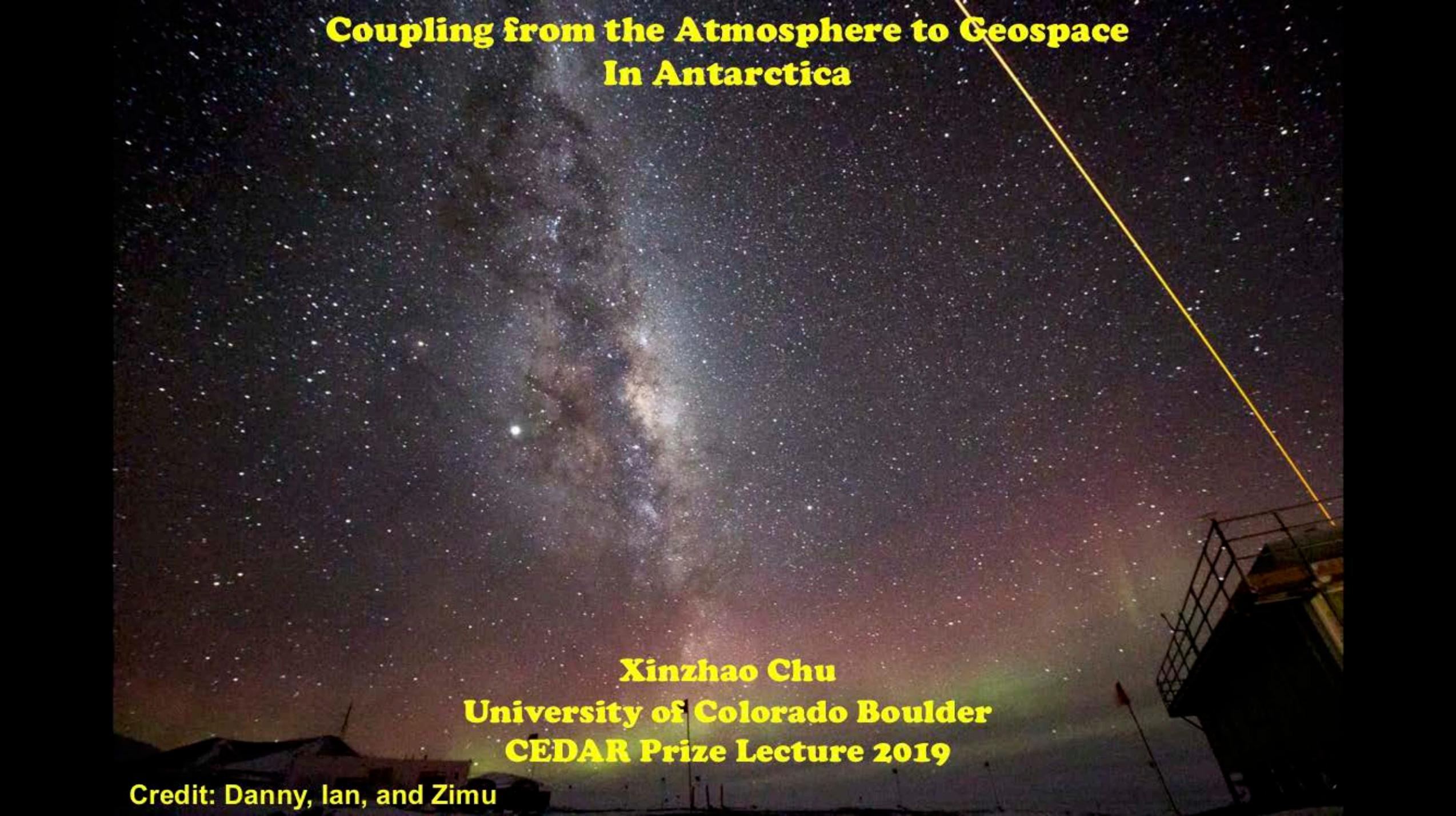


Fe Density on 1 June 2013 @ McM



Dr. Zhibin Yu  
 Winter-over 2011  
 First Place Prize 2013  
 CEDAR Students  
 Poster Competition

Courtesy of  
 Dr. Delores Knipp  
 &  
 Dr. Zhonghua Xu



**Coupling from the Atmosphere to Geospace  
In Antarctica**

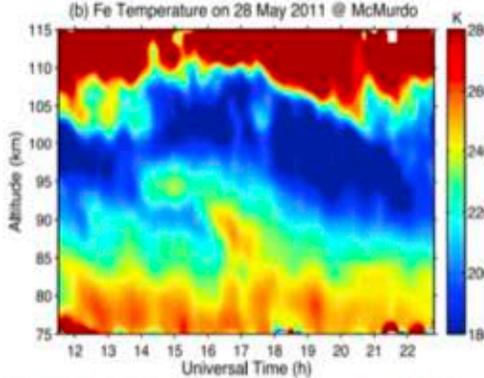
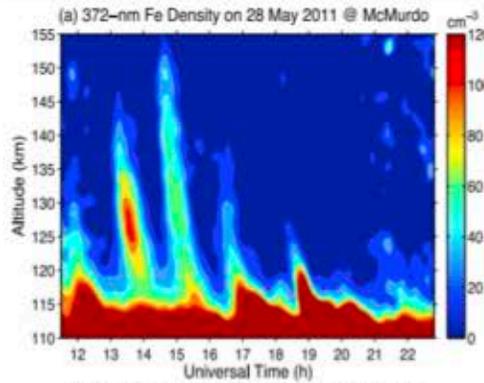
**Xinzhao Chu**  
**University of Colorado Boulder**  
**CEDAR Prize Lecture 2019**

**Credit: Danny, Ian, and Zimu**

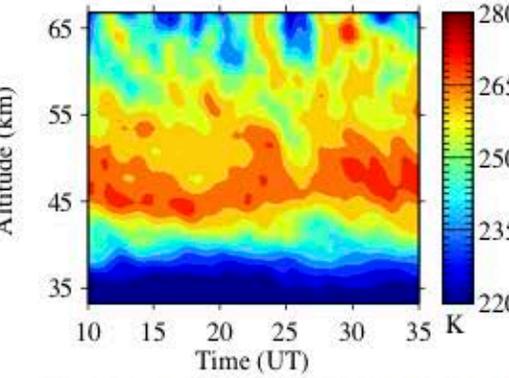
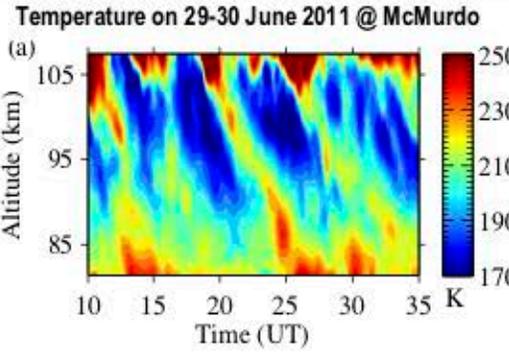
# Arrival Heights is a Hotspot of Gravity Waves



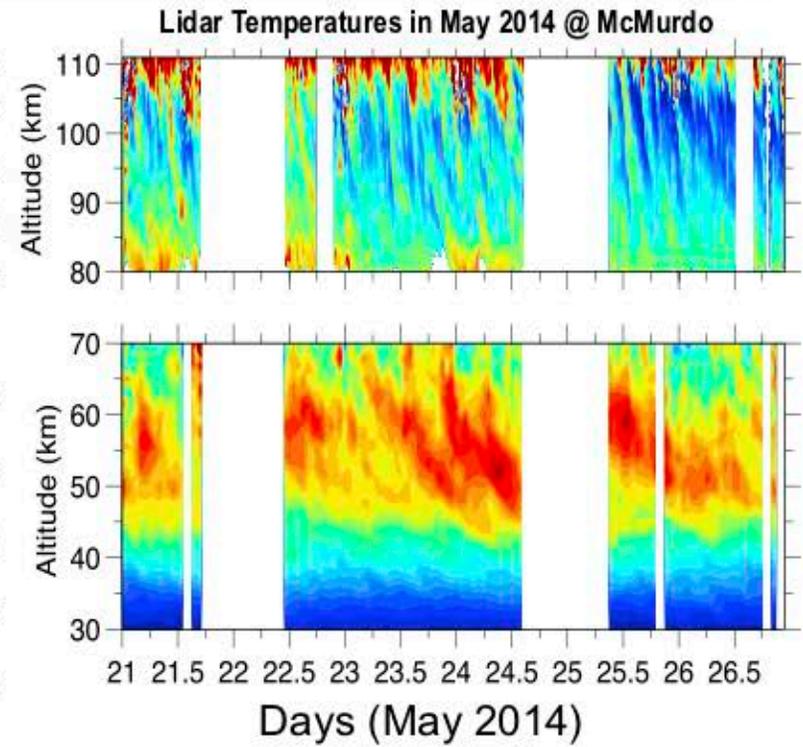
Dr. Cao Chen



[Chu et al., GRL, 2011]



[Chen et al., JGR, 2013]



[Lu et al., GRL, 2017]



Dr. Xian Lu

1.5-h GWs  
in TIFe layer

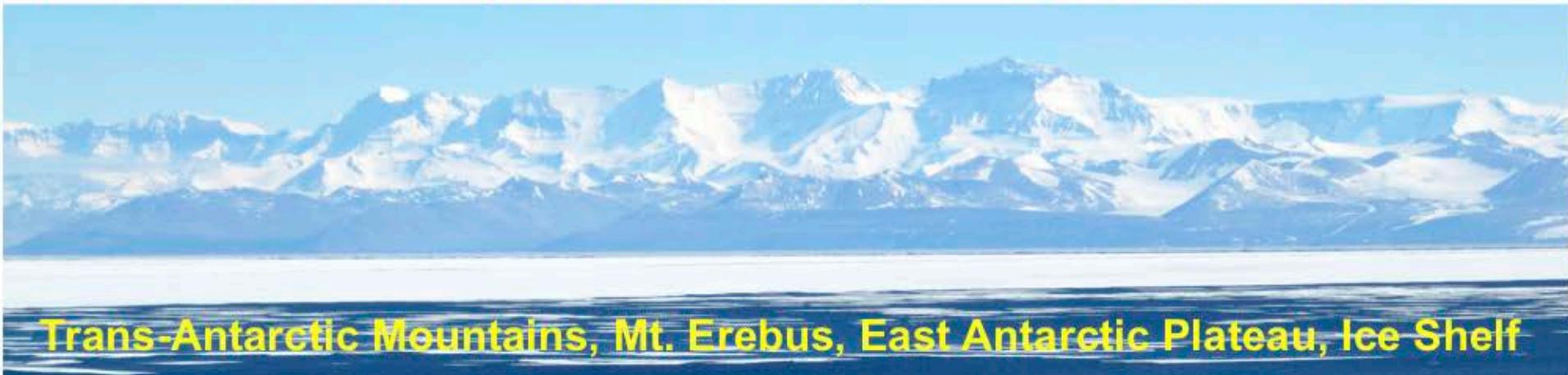
1.5-h GWs  
in Fe temp

3-10 h GWs  
in Fe temp

PWs in  
Fe Temp

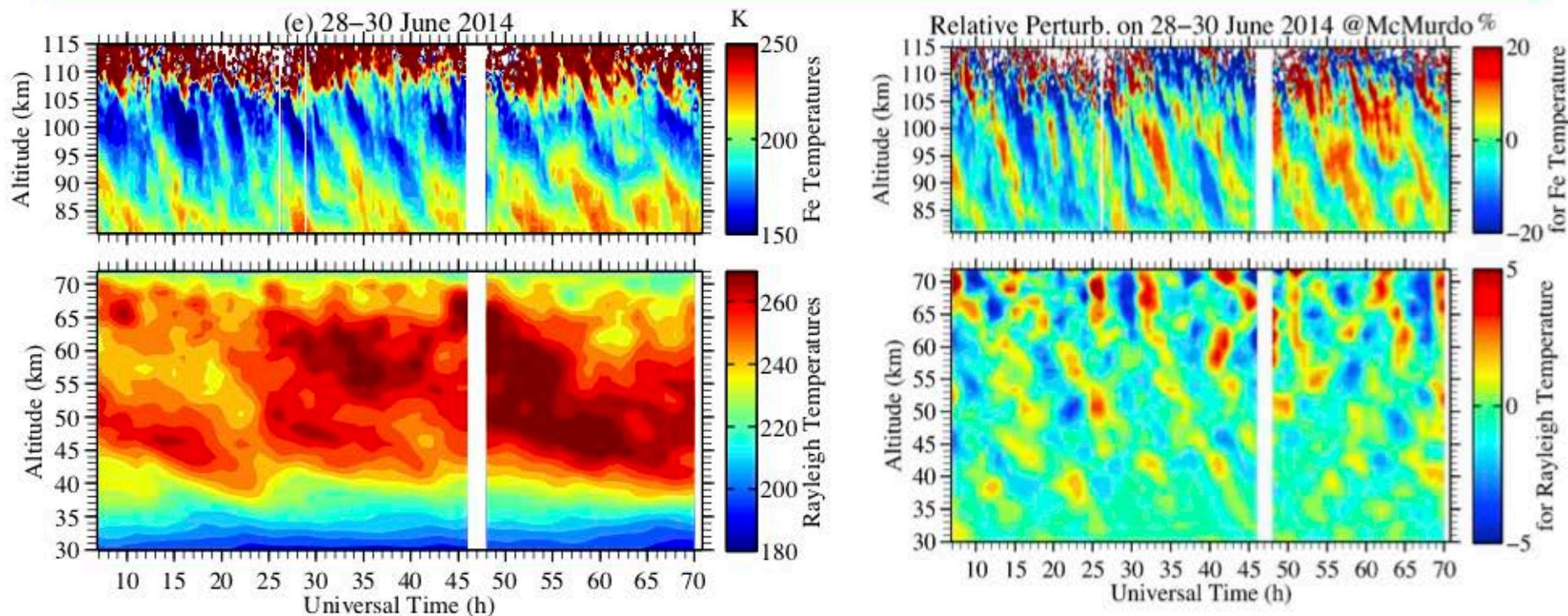
PWs in  
Rayleigh  
temp

GWs in  
Rayleigh  
temp



Trans-Antarctic Mountains, Mt. Erebus, East Antarctic Plateau, Ice Shelf

# Lidar Discovery of Persistent Gravity Waves with Inertial $\tau$ of 3–10 h and $\lambda_z$ of 20–30 km



[Chen et al., JGR, 2016]

**Persistent, large-amplitudes, dominant in the MLT ( $T' \sim \pm 20\text{--}30$  K)**

**No pause during nearly 3-day observation!!!**

**Occurring on every lidar run; as a group, these waves are perpetual**

**What wave sources could be so persistent???**

**Non-tidal periods, non-fixed phases, phase traced down to the stratosphere**



Dr. Cao Chen

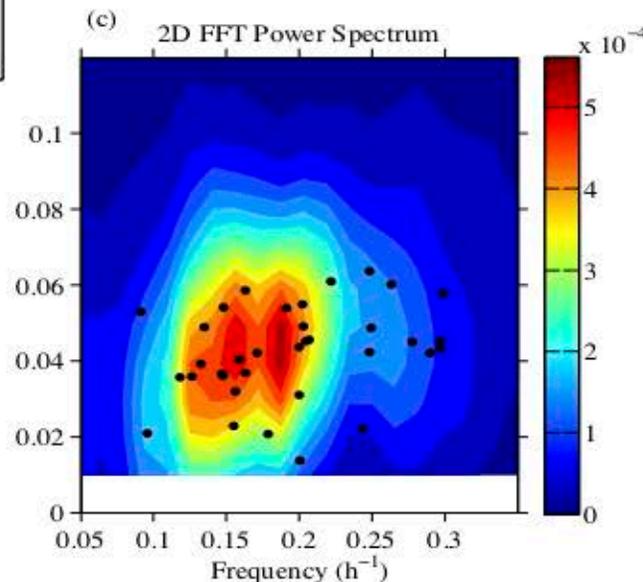
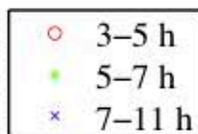
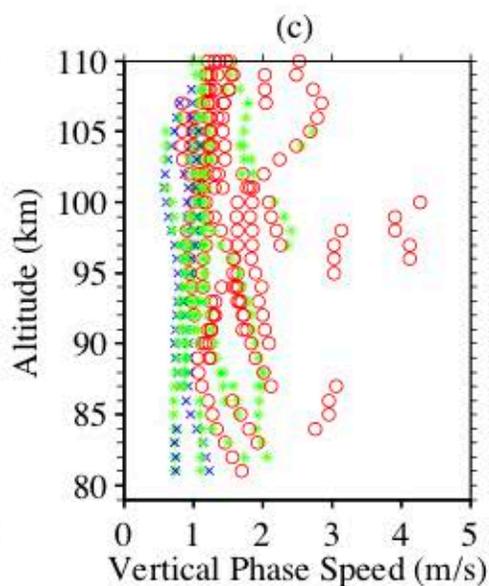
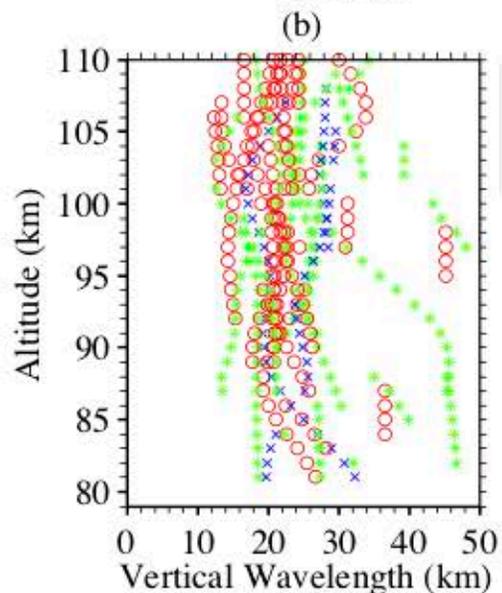
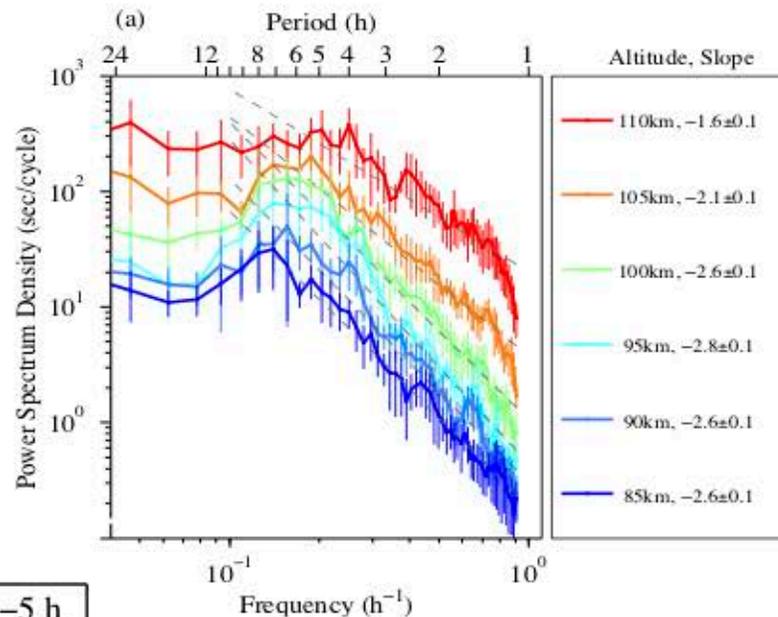
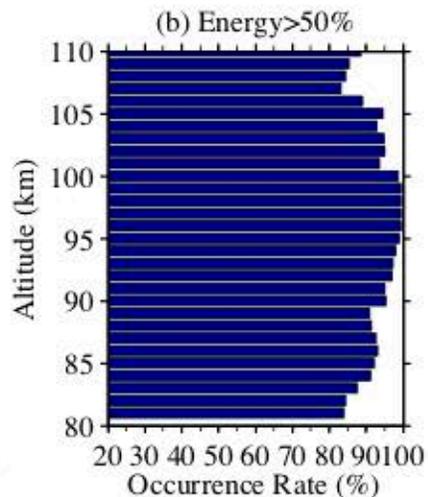
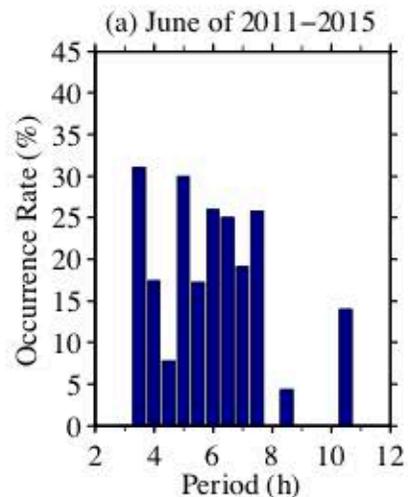
Winter-over 2014

First Place Prize 2012

CEDAR Students

Poster Competition

# MLT Persistent Gravity Waves in June 2011-2015



Freq-spectral slopes  
-2.7 below 100 km,  
gradually become  
shallower -1.6 at 110 km

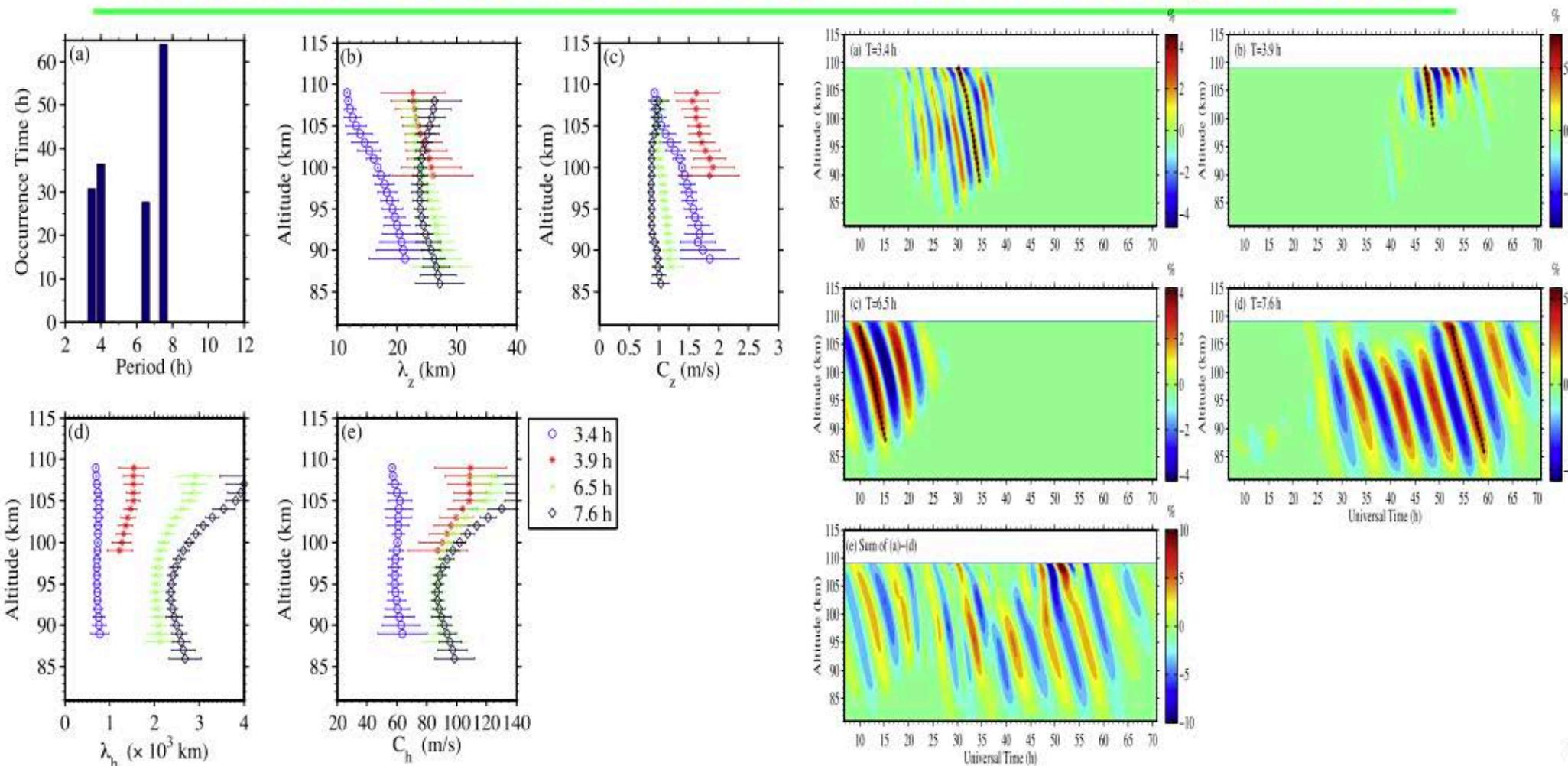
**Persistent waves  
aren't tidal waves,  
aren't atmos.  
normal modes,  
unlikely wave-wave  
interactions,  
but gravity waves!**

[Chen et al., JGR, 2016]

# Wave Recognition Based on 2D Wavelet for Characterization of Persistent Gravity Waves



Dr. Cao Chen  
Winter-over 2014



[Chen and Chu, JASTP, 2017]  $\lambda_H \approx 800 - 4000 \text{ km}$



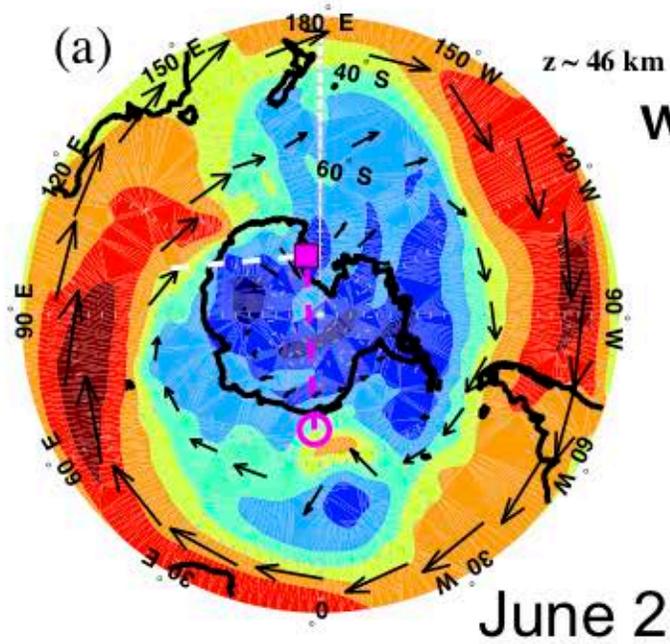
Ian Geraghty  
Winter-over 2019  
Undergrad Honorable  
Mention 2017

What wave sources could be so persistent???

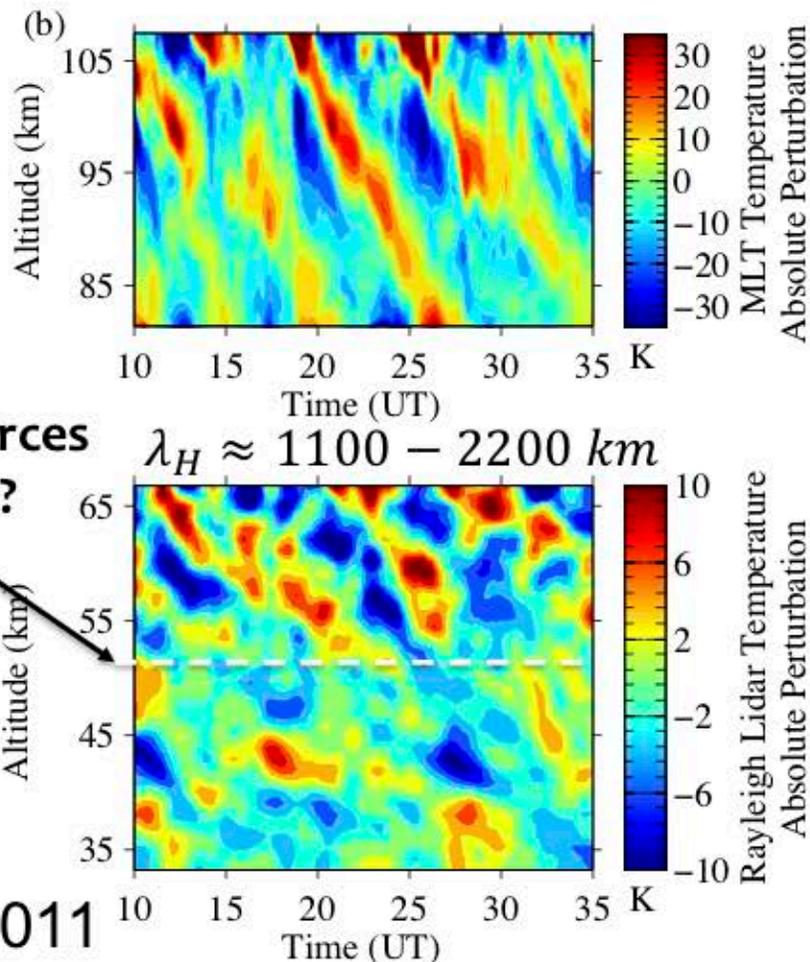
# Sources of the MLT Persistent Waves ???

## Traced Back to the Stratosphere

With Scott Base **MF radar wind data**, we can derive where the waves come from in case studies.



Wave Sources  
Height?



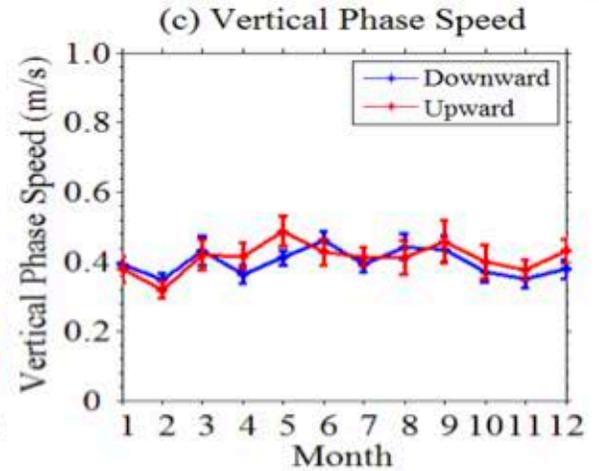
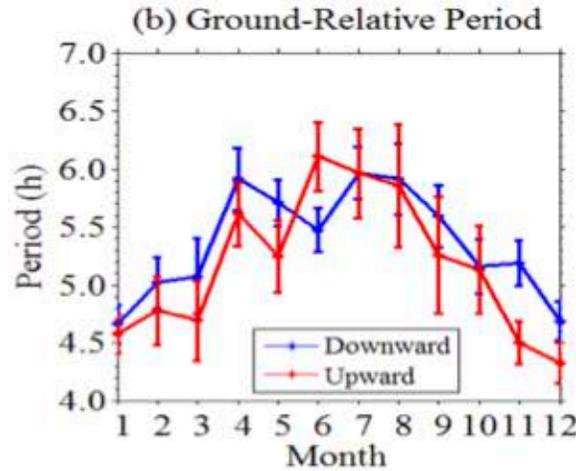
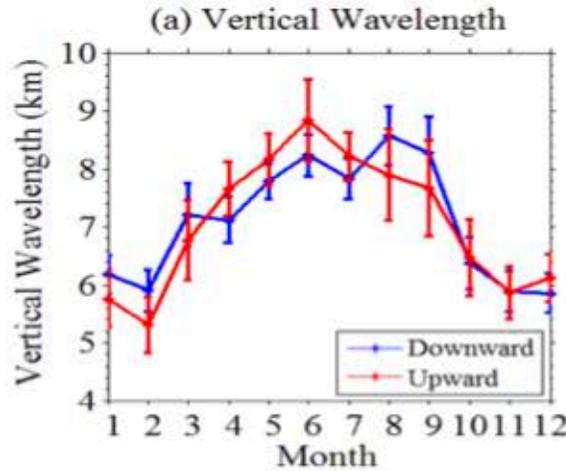
Dr. Adrian McDonald

This is the first time inertia-gravity waves (IGWs) observed in the Antarctic MLT by lidar and radar together. [Chen et al., JGR, 2013]



Dr. Jian Zhao  
Winter-over 2015

# Statistical Characterization of Dominant Gravity Waves in the Stratosphere (30-50 km)

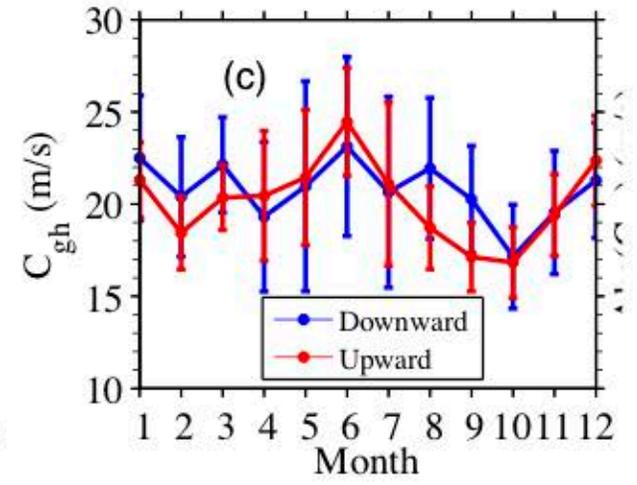
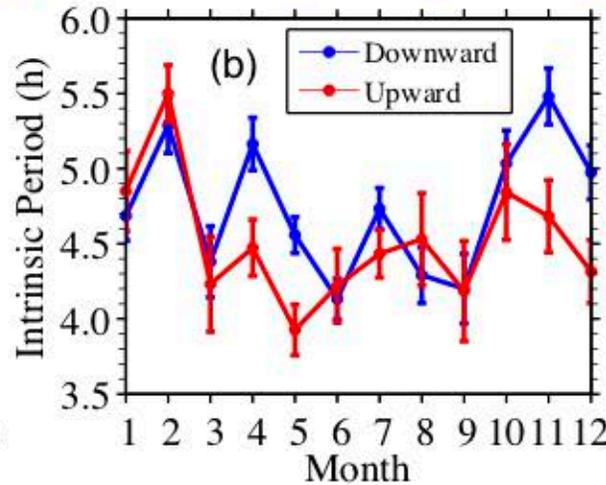
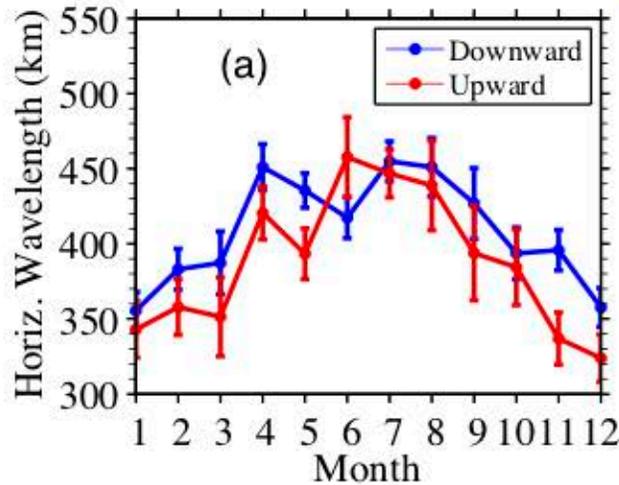


$$\lambda_H \approx 400 \text{ km}$$

[Zhao et al., JGR, 2017]

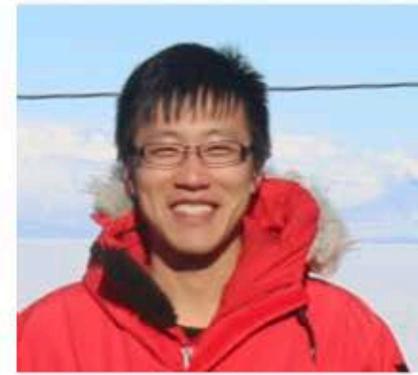


Dr. Chihoko Yamashita  
First Place Prize 2011  
CEDAR Students  
Poster Competition

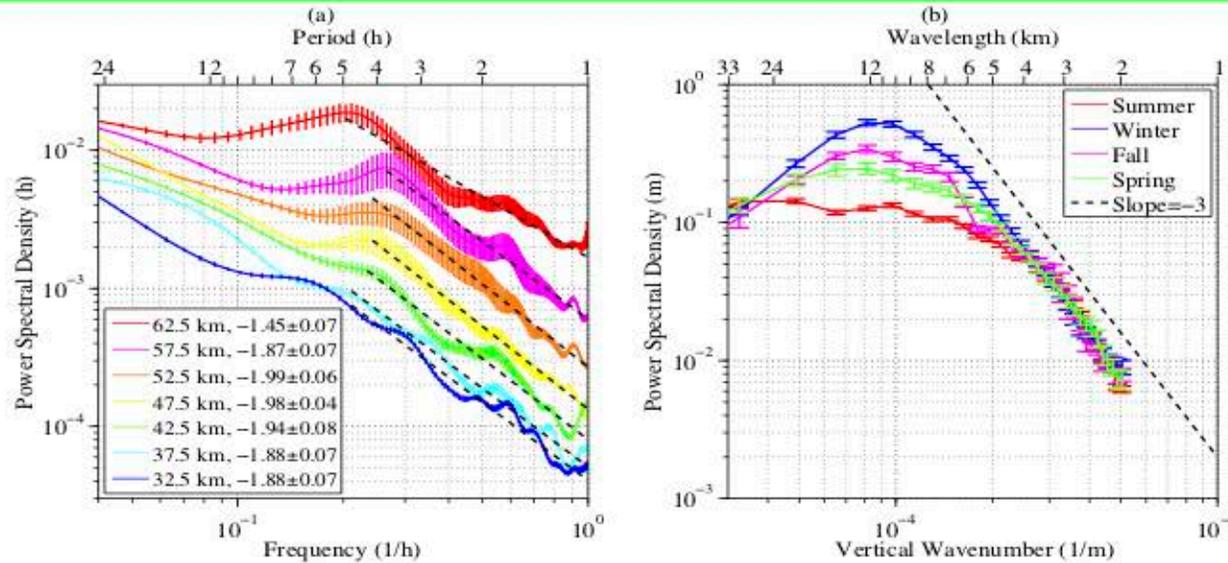


Dominant GWs in the stratosphere are different from the MLT persistent waves

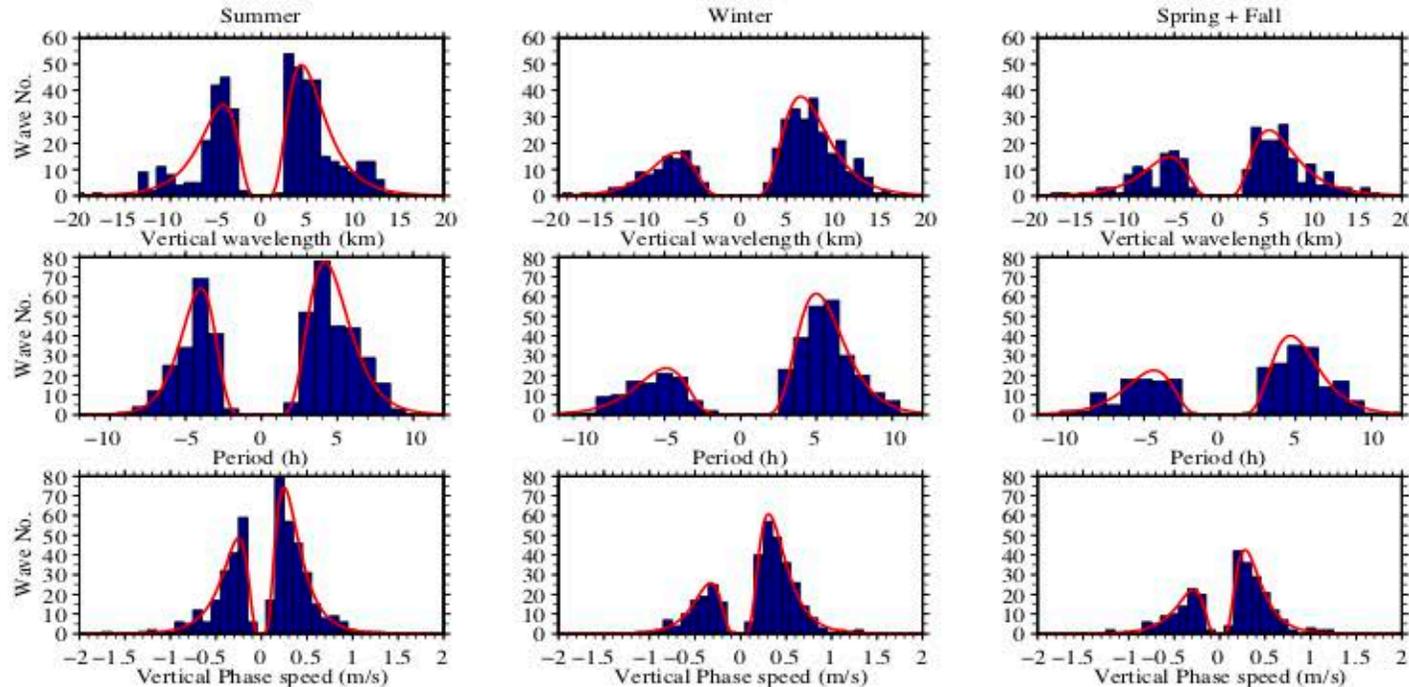
# Stratospheric Gravity Wave Spectra & Characteristics



Dr. Jian Zhao  
Winter-over 2015

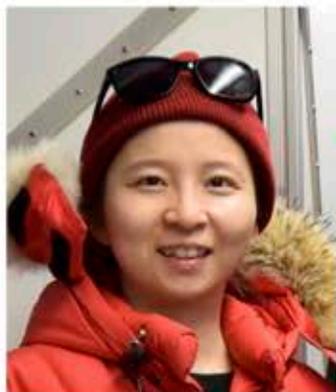


Frequency-spectra  
Seasonal m-spectra  
[Zhao et al., JGR, 2017]



Lognormal distributions of  
vertical wavelength, period,  
and vertical phase speed  
[Zhao et al., JGR, 2017]

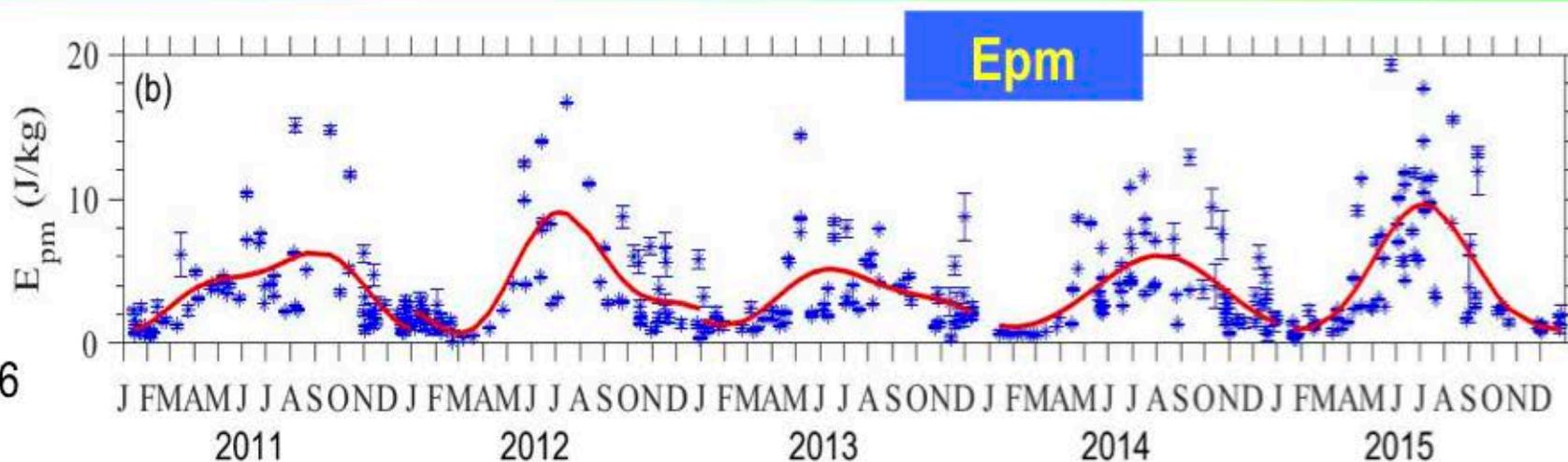
# Stratospheric Gravity Waves are Intriguing



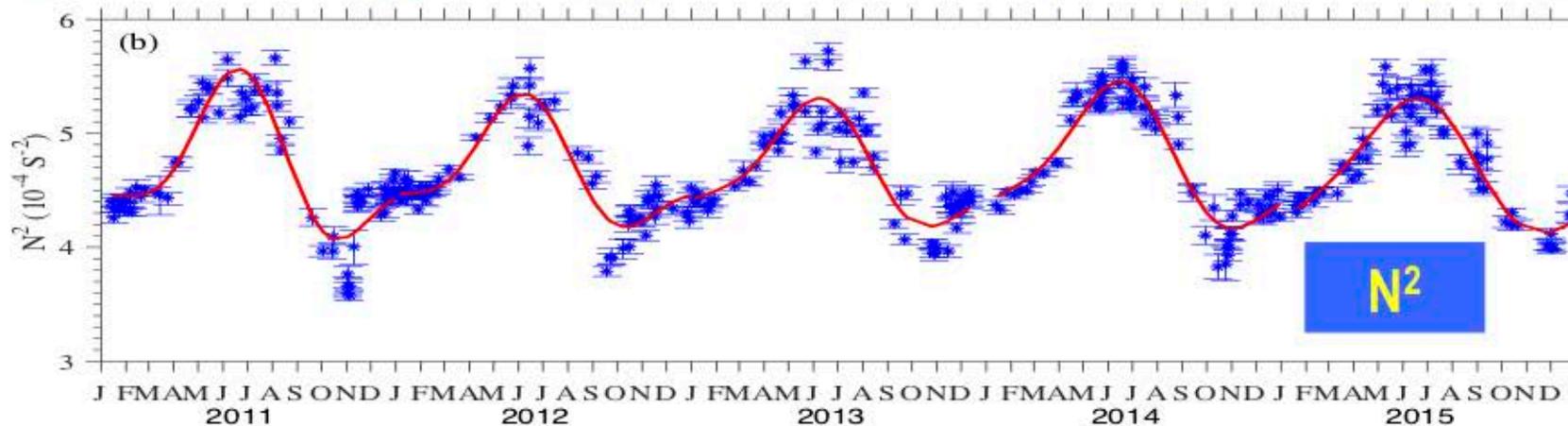
Dr. Xian Lu  
Summer 2015-2016



Dr. Jian Zhao  
Winter-over 2015



## GW potential energy density (30-50 km) over 5 years [Chu et al., JGR, 2018]



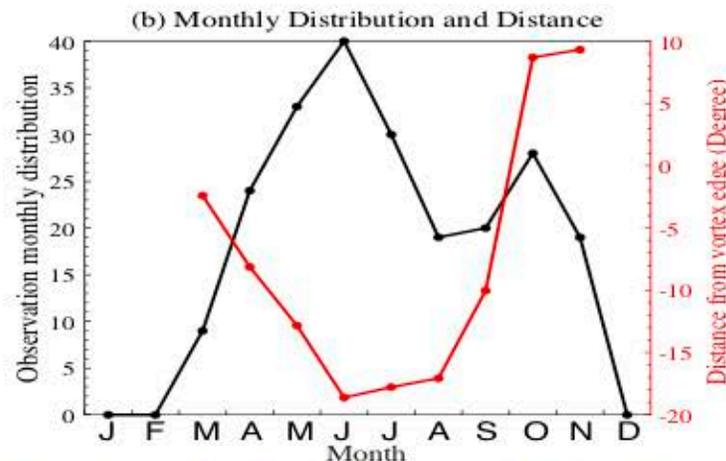
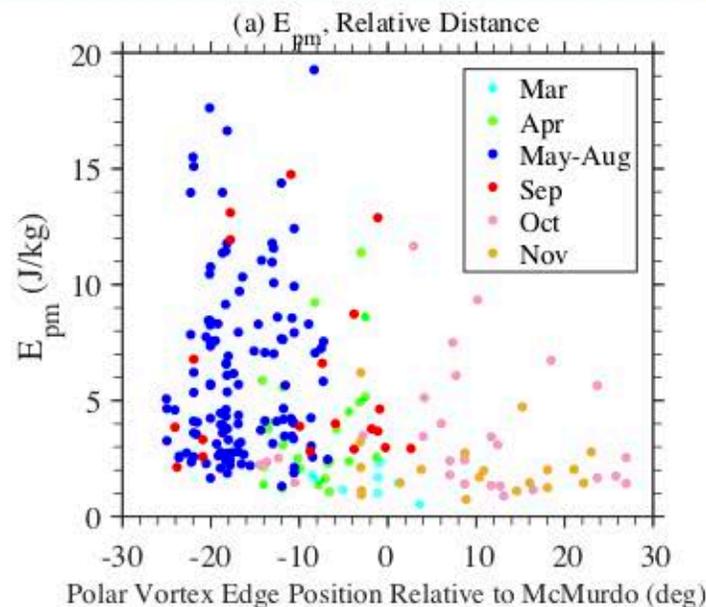
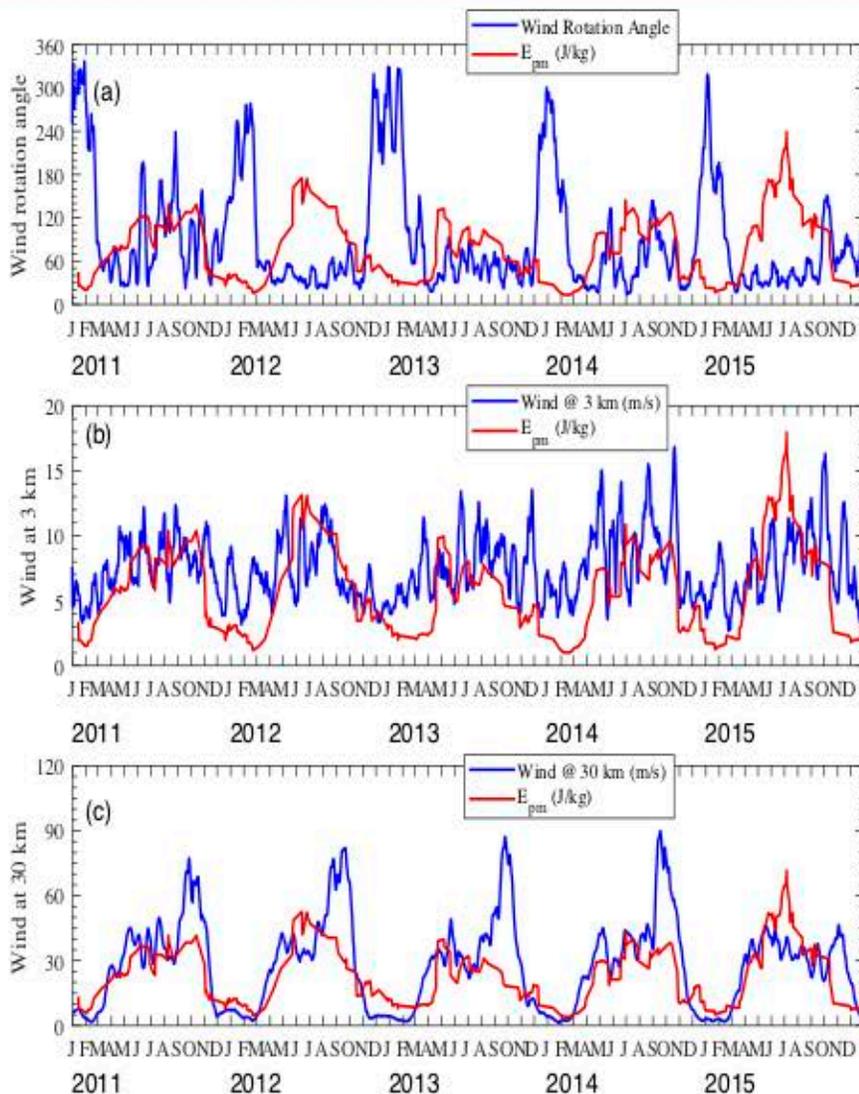
**$E_{pm}$  and  $N^2$  exhibit seasonal patterns with summer minima & winter maxima**

# Driving Factors for Epm Seasonal Variations

Critical level filtering

Near surface wind

Stratospheric wind at 30 km



Dr. Lynn Harvey

Polar Vortex

Stratospheric Epm vs. Critical Level Filtering and Wave Sources [Chu et al., JGR, 2018]

Stratospheric Epm vs. Polar Vortex Location [Chu et al., JGR, 2018]

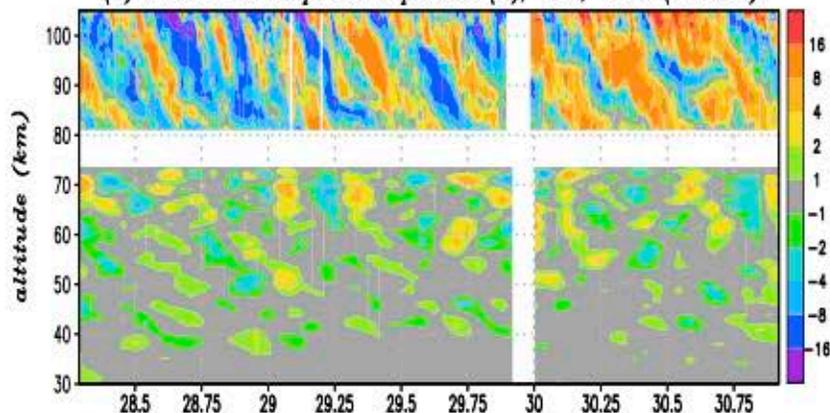
# Lidar Discoveries Inspired Theoreticians and Modelers to Search for the Wave Sources (Vadas and Becker)



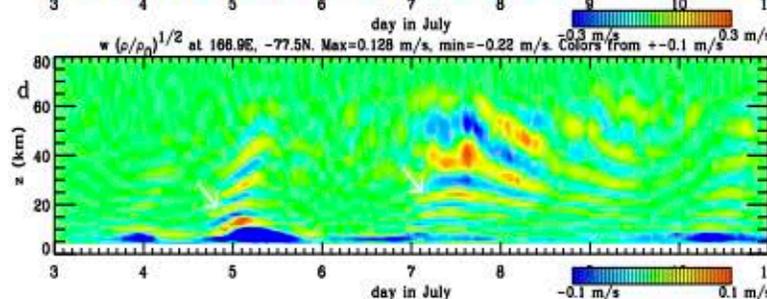
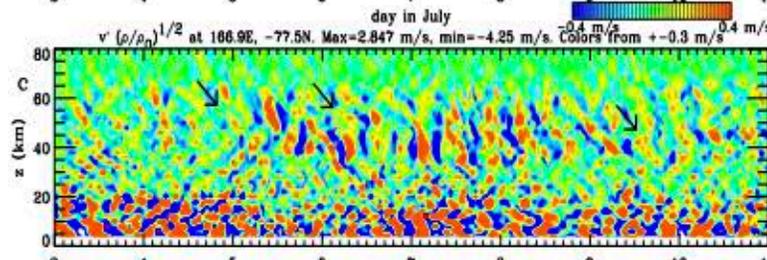
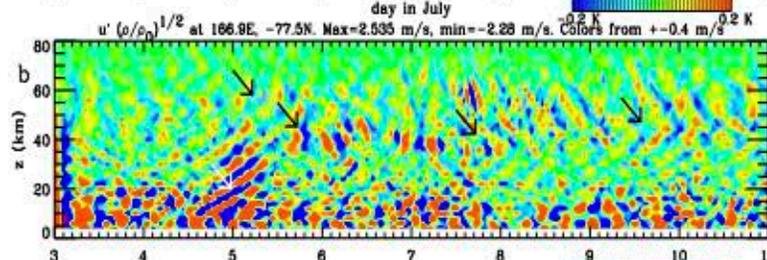
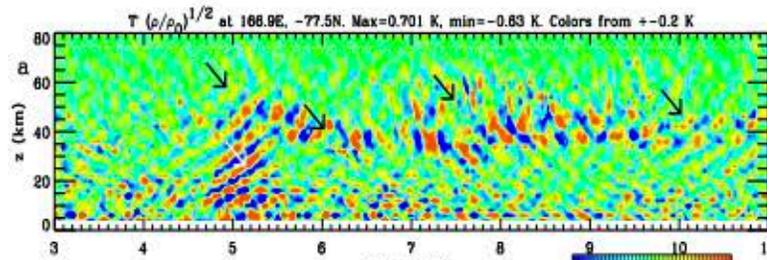
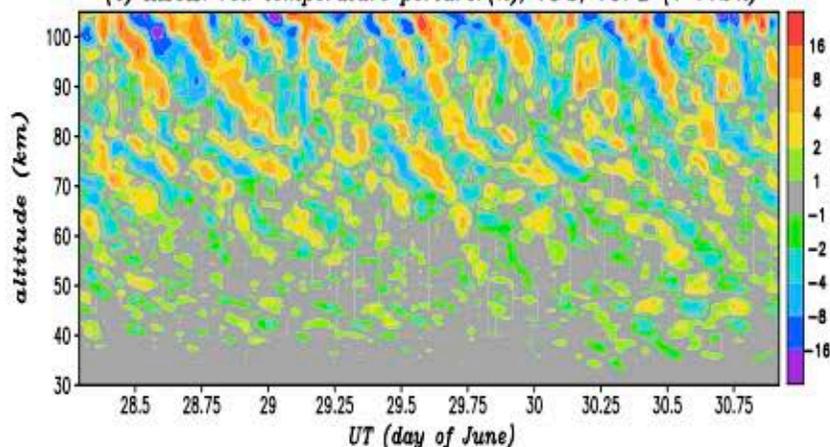
Dr. Sharon Vadas  
Dr. Erich Becker

## GW-resolved KMCM

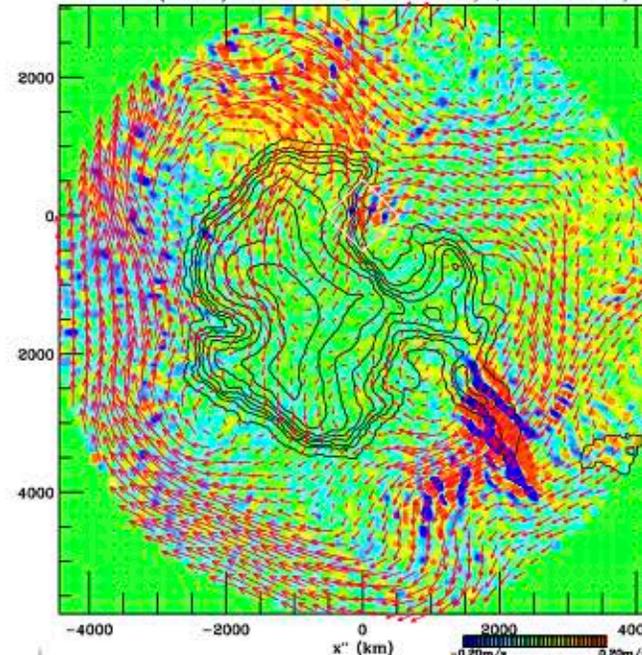
(a) LIDAR: rel. temperature perturb. (%), 78°S, 167°E ( $\tau < 12$  h)



(b) KMCM: rel. temperature perturb. (%), 78°S, 167°E ( $\tau < 12$  h)



July 5, 18,  $u_H$  (arrows) at  $z=4$  km, max=60.2 m/s  
 $w$  (colors) at  $z=30$  km, max=1.70 m/s, min=-1.5 m/s

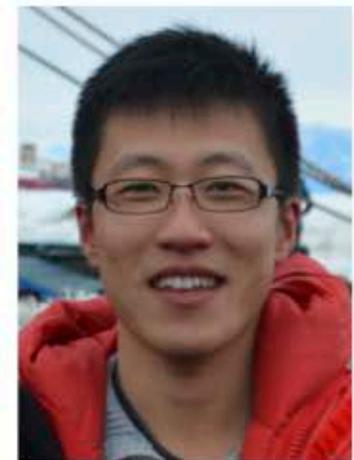


[Becker and Vadas, JGR, 2018; Vadas and Becker, JGR, 2018]

# Secondary Gravity Wave Generation by Localized, Intermittent Body Force



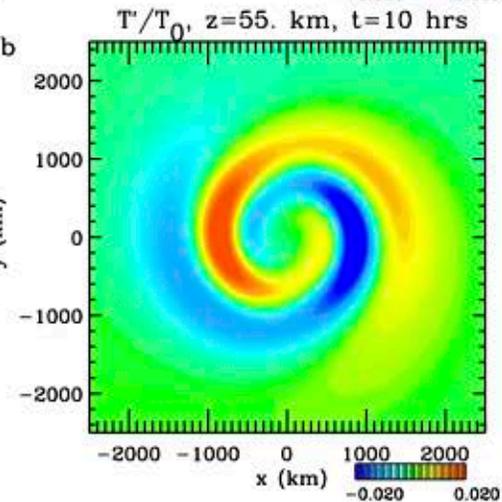
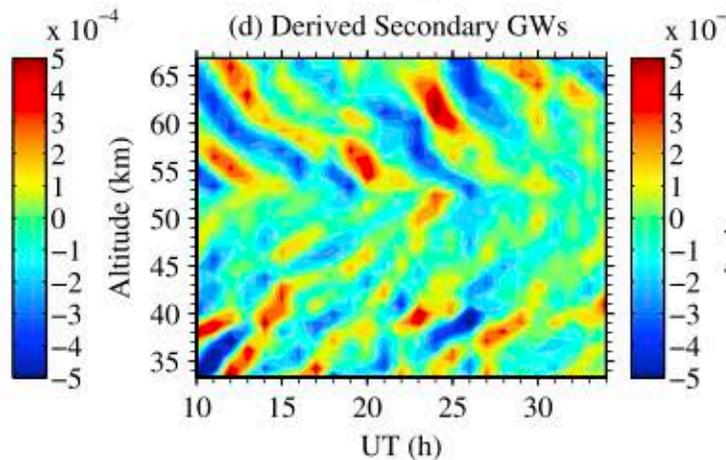
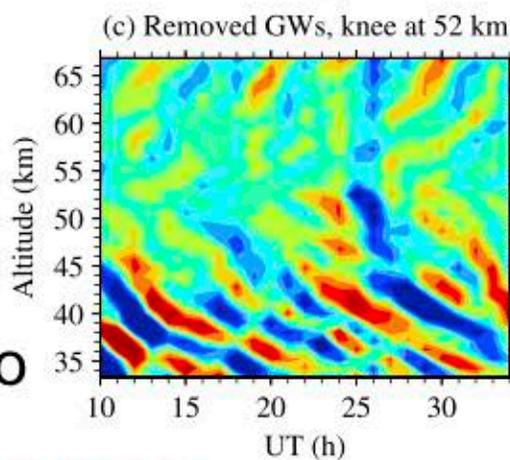
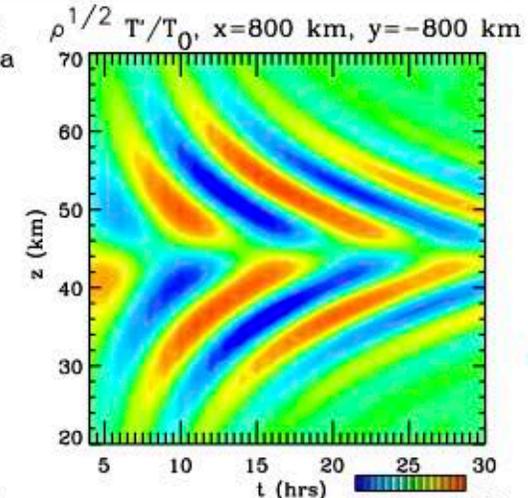
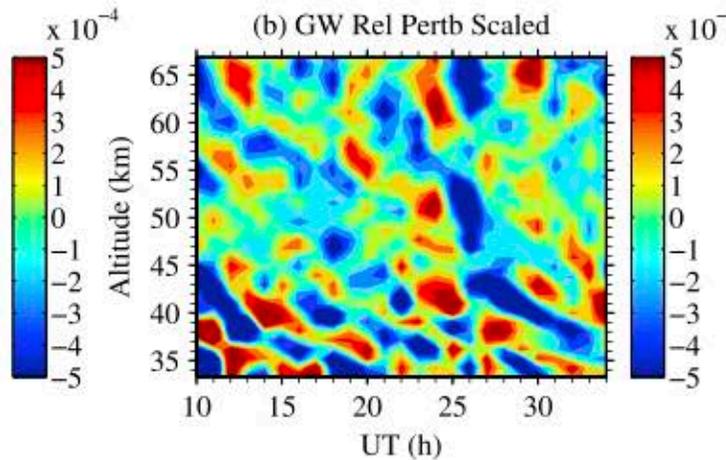
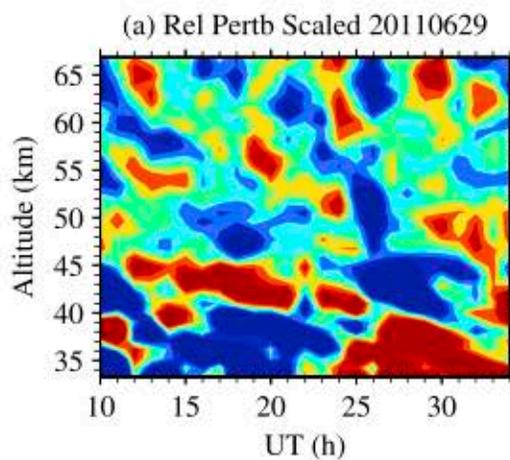
Dr. Becker



Dr. Jian Zhao



Dr. Vadas



Observations

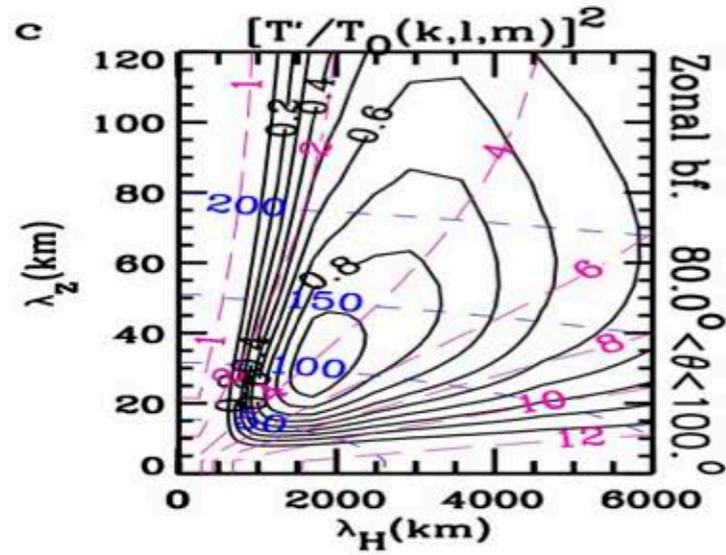
June 29-30, 2011 @ McMurdo

[Vadas, Zhao, Chu, and Becker, JGR, 2018]

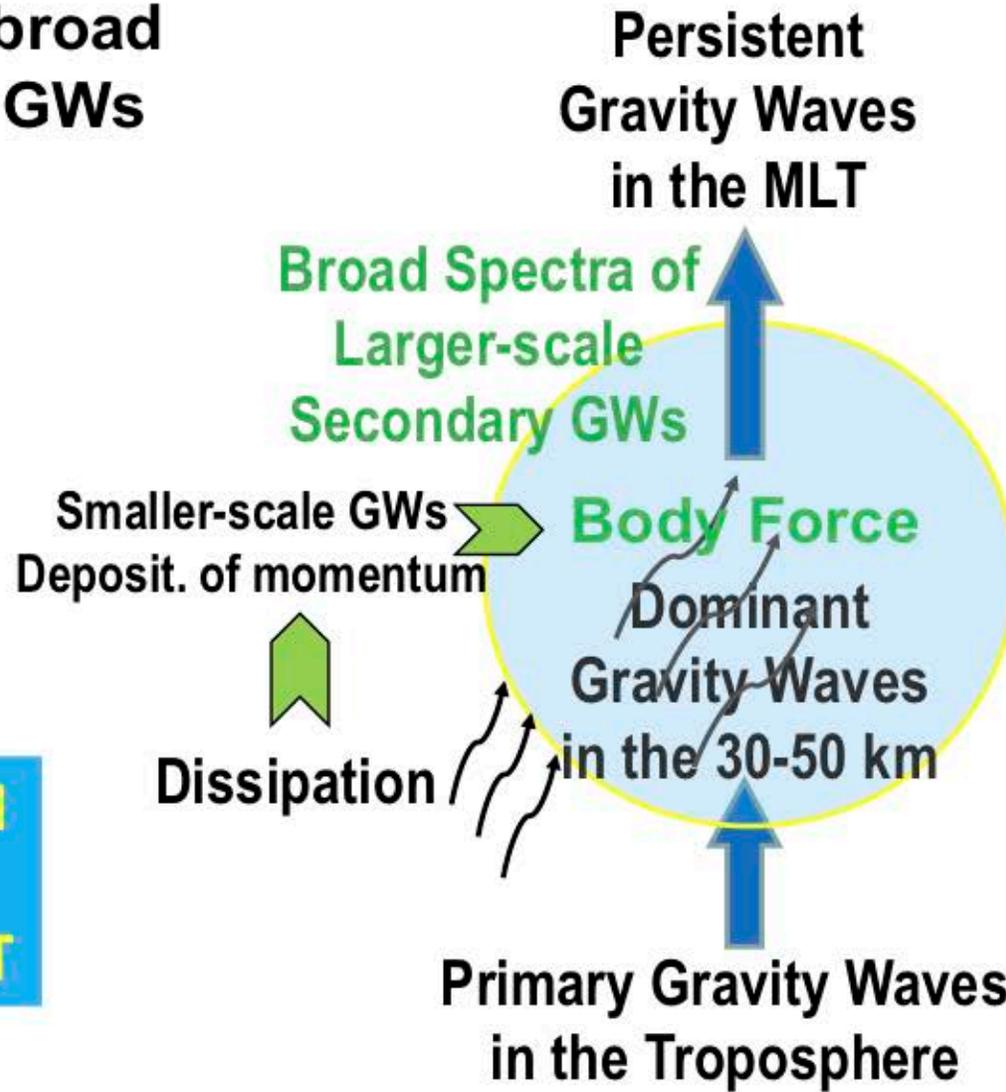
Theory

# A New Picture of Antarctic Gravity Waves in Our Papers

Body force generates a broad spectrum of secondary GWs



Broad spectra of SGWs excited  
Dissipating shorter  $\lambda_z$  SGWs  
Longer  $\lambda_z$  SGWs reach the MLT



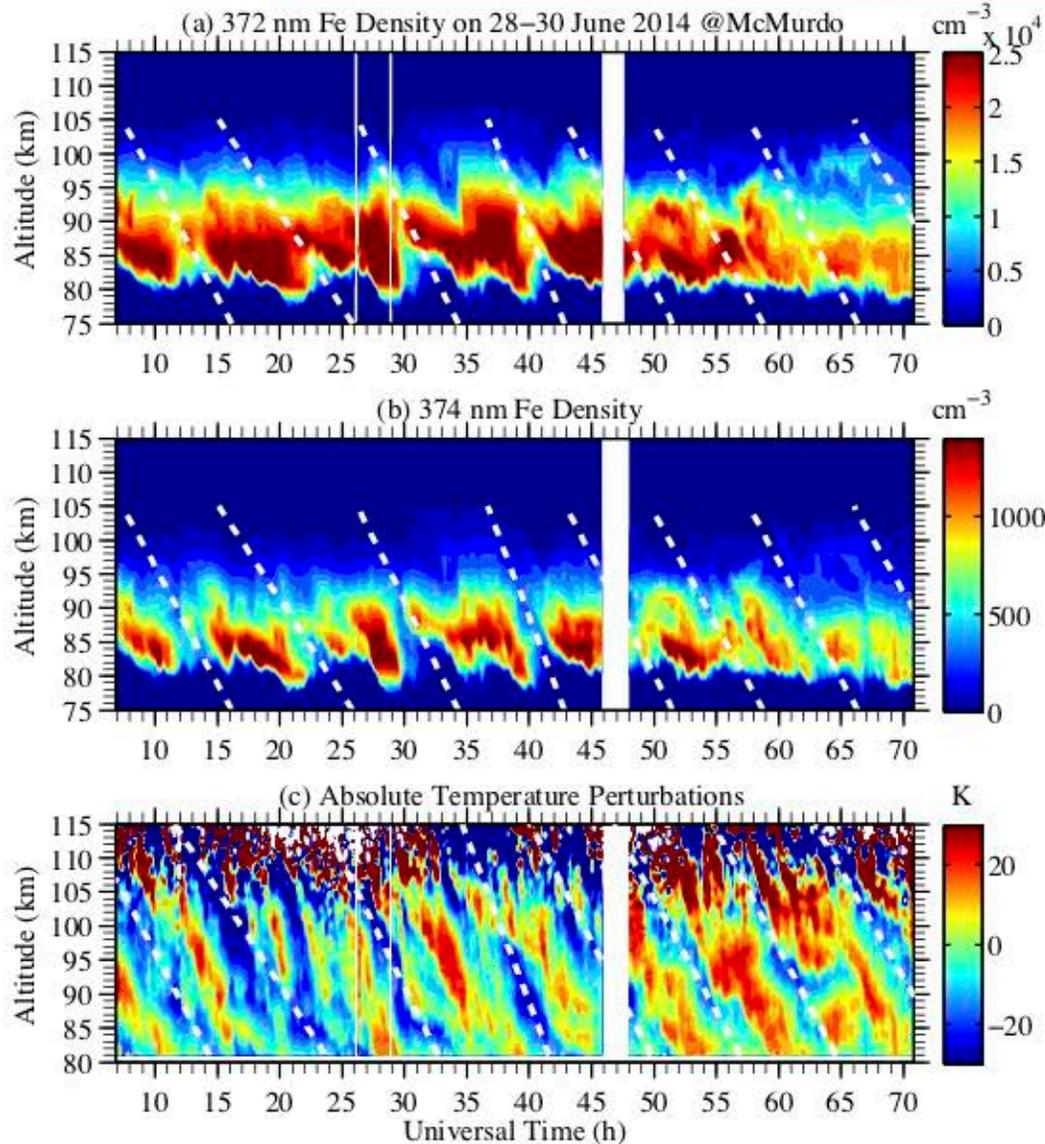
Mainly secondary gravity waves; but still some GWs coming from below?

Shorter-period MWs, GWs by polar vortex Secondary GWs ...

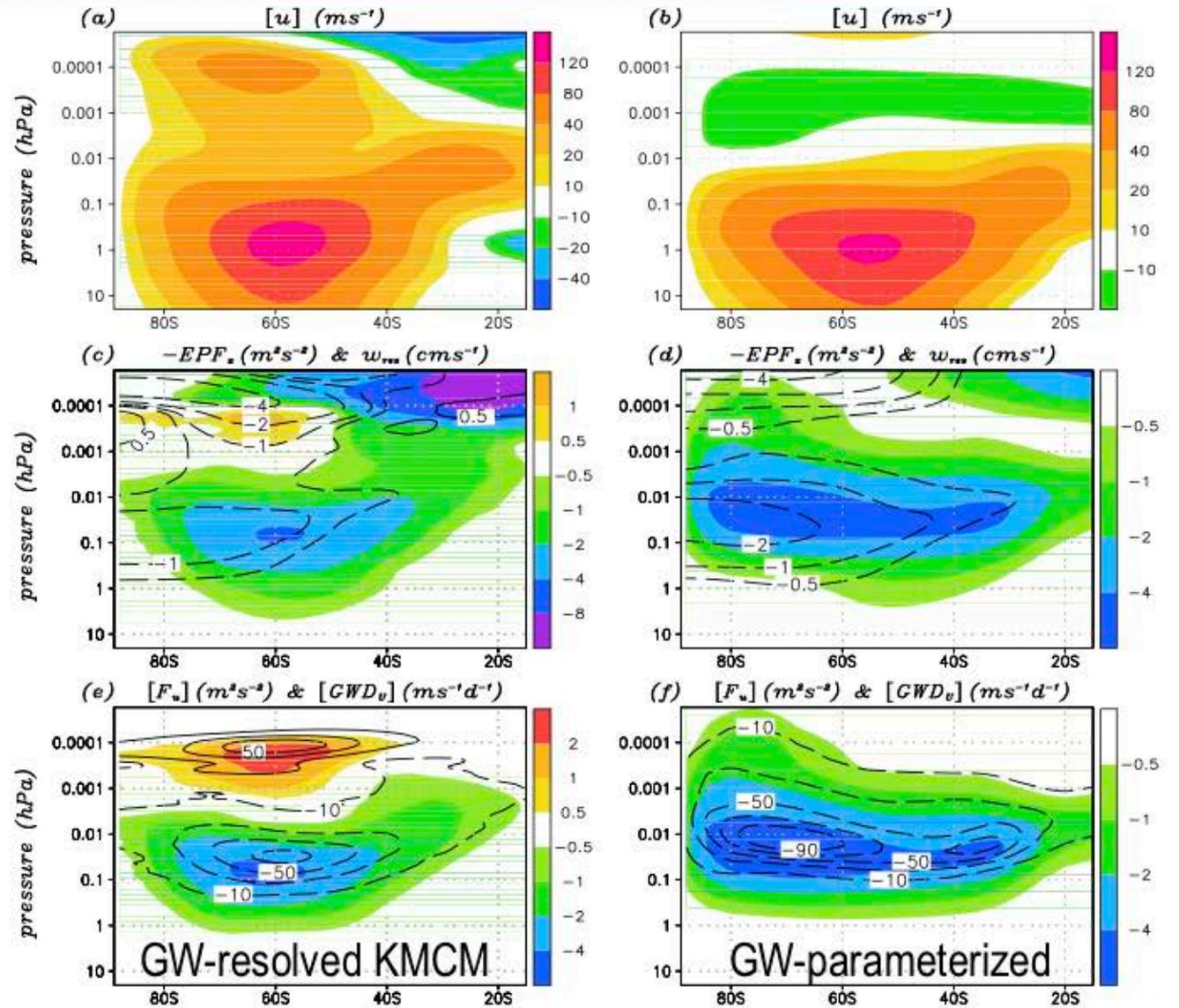
MWs various periods, IGWs by jet stream & Rossby wave break

[Vadas et al., JGR, 2018; Chu et al., JGR, 2018]

# Scientific Merits of the New Understandings



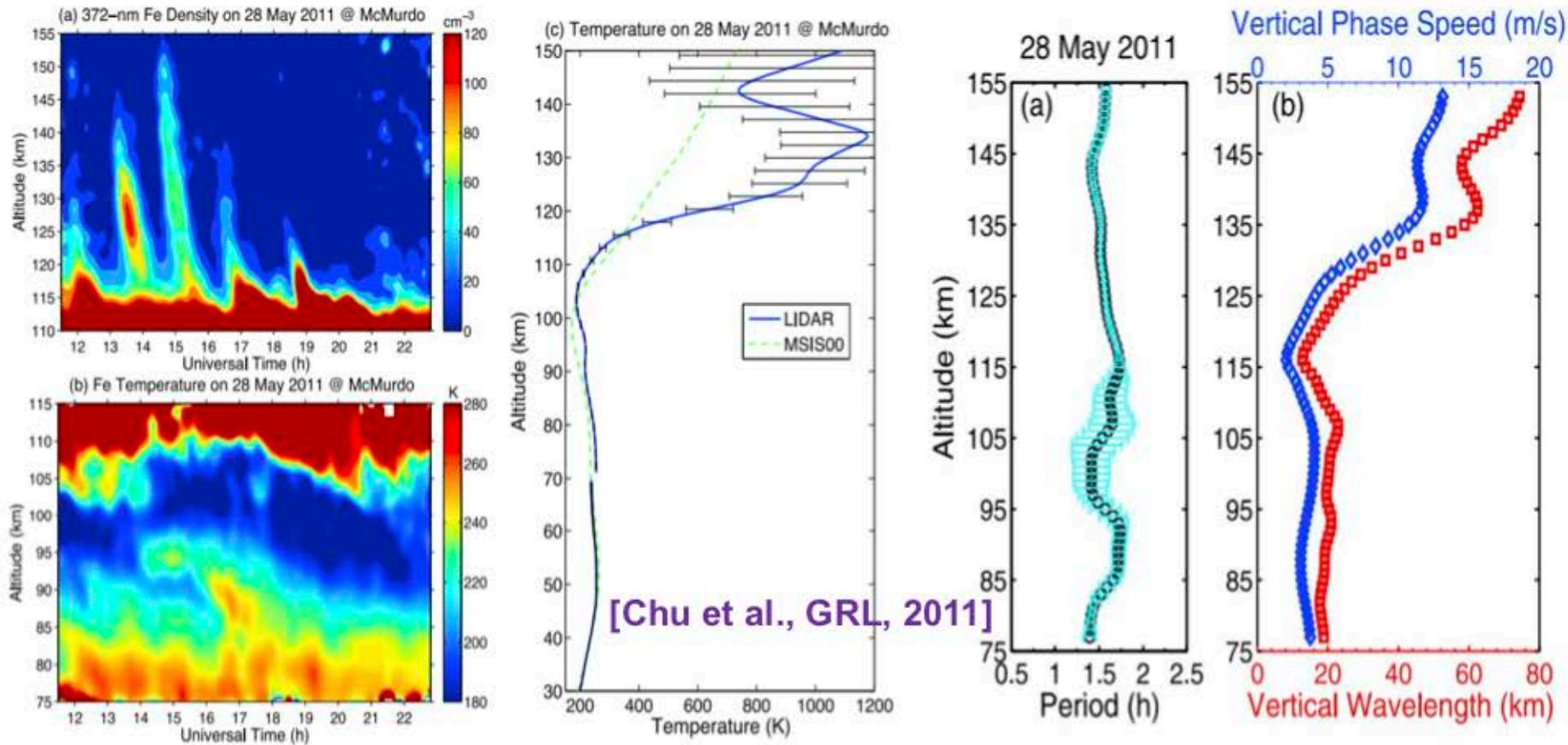
[Chen et al., JGR, 2016]



[Becker and Vadas, JGR, 2018]

# TiFe Layers Formed by Plasma-Neutral Coupling

Thermosphere-Ionosphere Fe (TiFe) layers [Chu et al., GRL, 2011]



This TiFe layer event on 28 May 2011 demonstrates complex gravity wave activity in Antarctica: 1) 3-10 hr inertial-period gravity waves dominate the temperature variations in the MLT; 2) ~1.5 hr fast gravity waves propagate from the MLT well into the thermosphere.

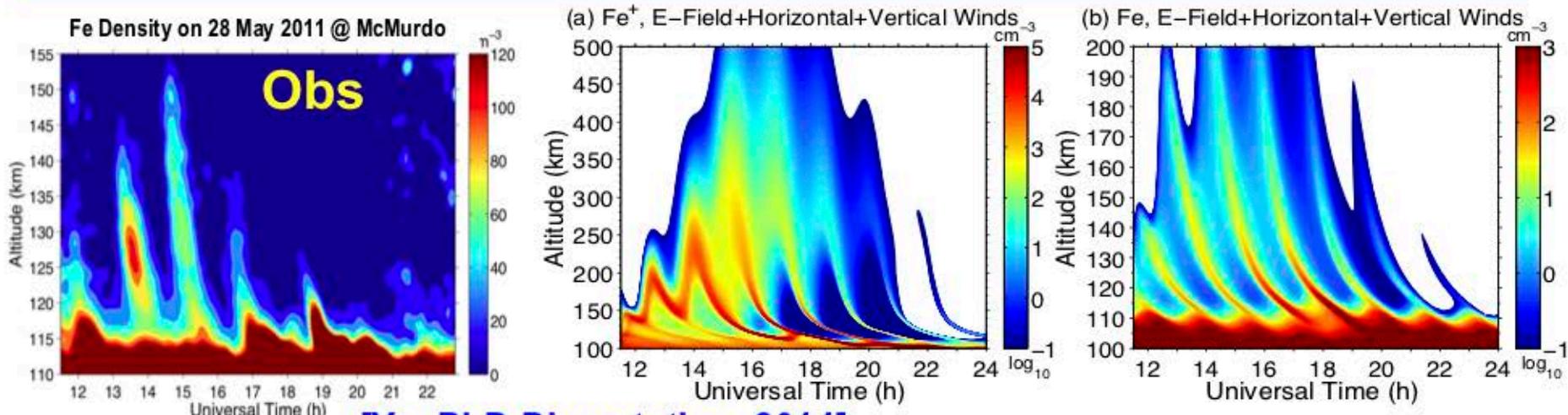


Dr. Zhibin Yu



Dr. Cao Chen

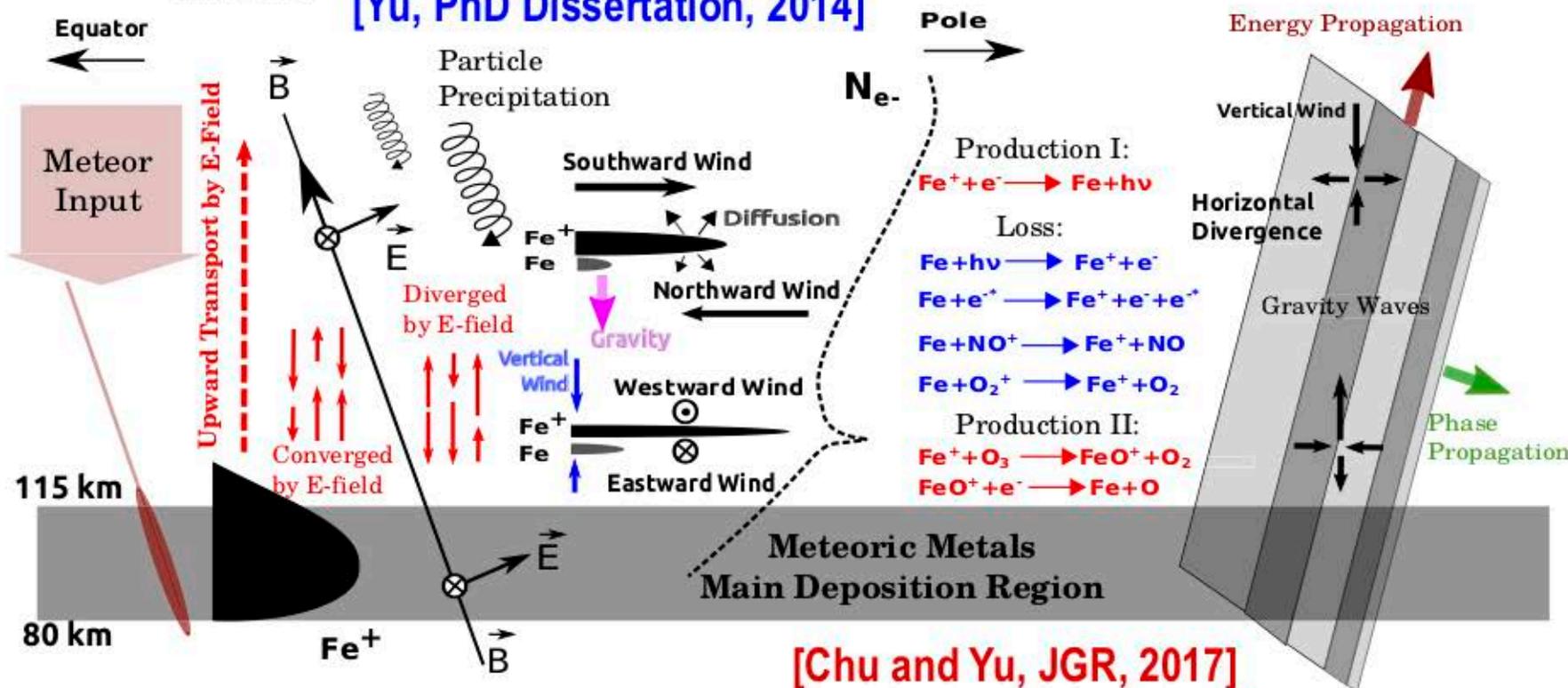
# TiFe Model Simulations and Overall Picture



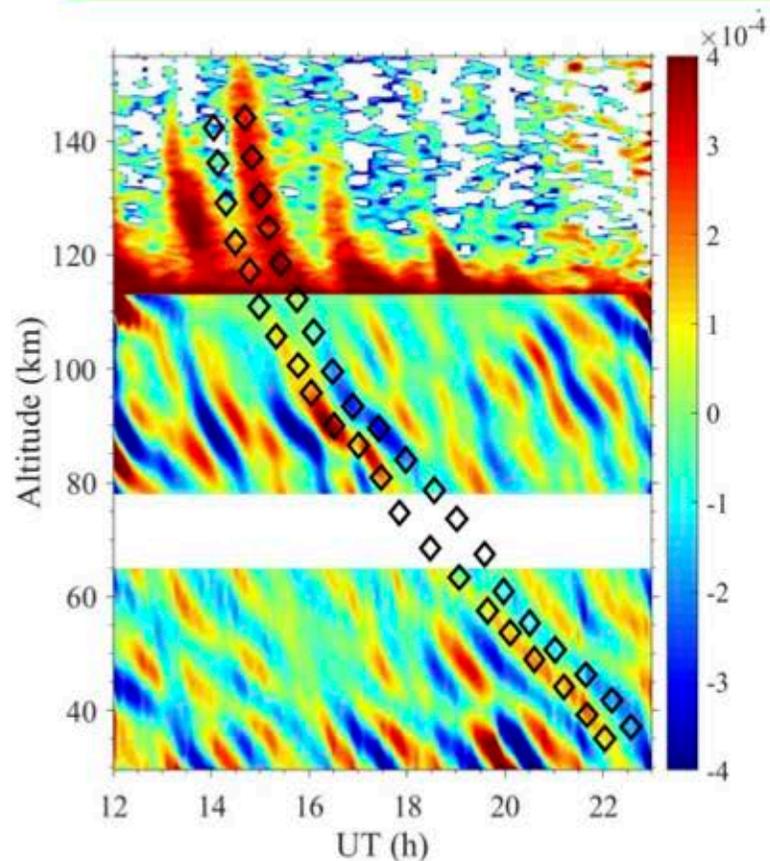
Dr. Zhibin Yu

Winter-over 2011

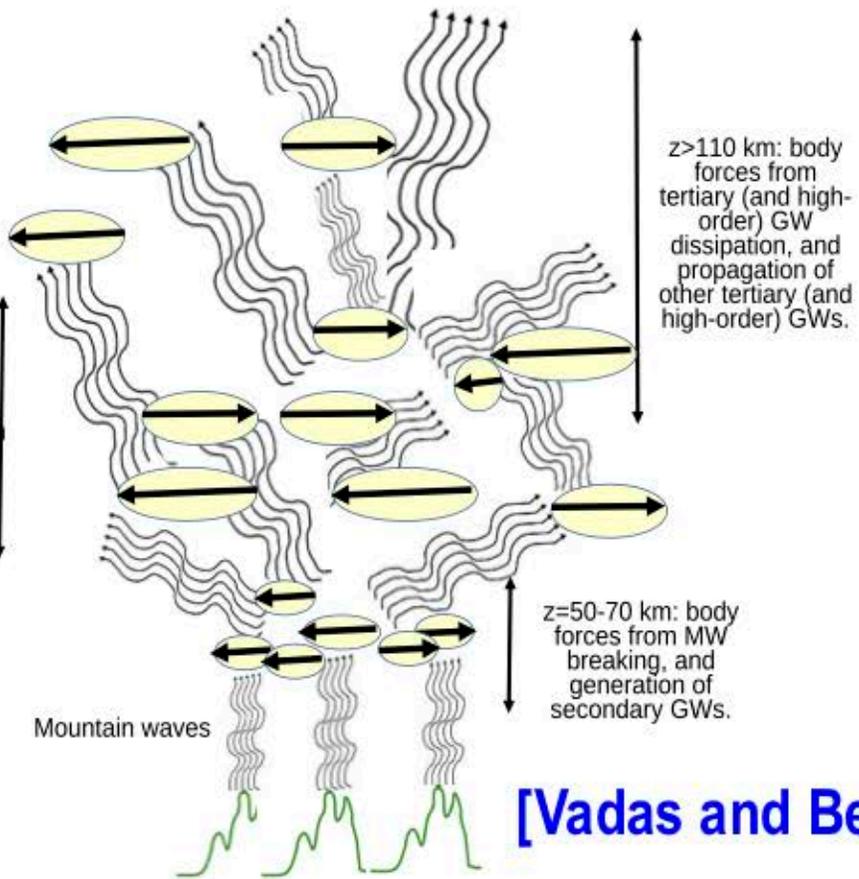
First Place Prize 2013  
CEDAR Students  
Poster Competition



# Forming a Big Picture of Antarctic Gravity Waves



[Zhao, PhD Dissertation, 2018]



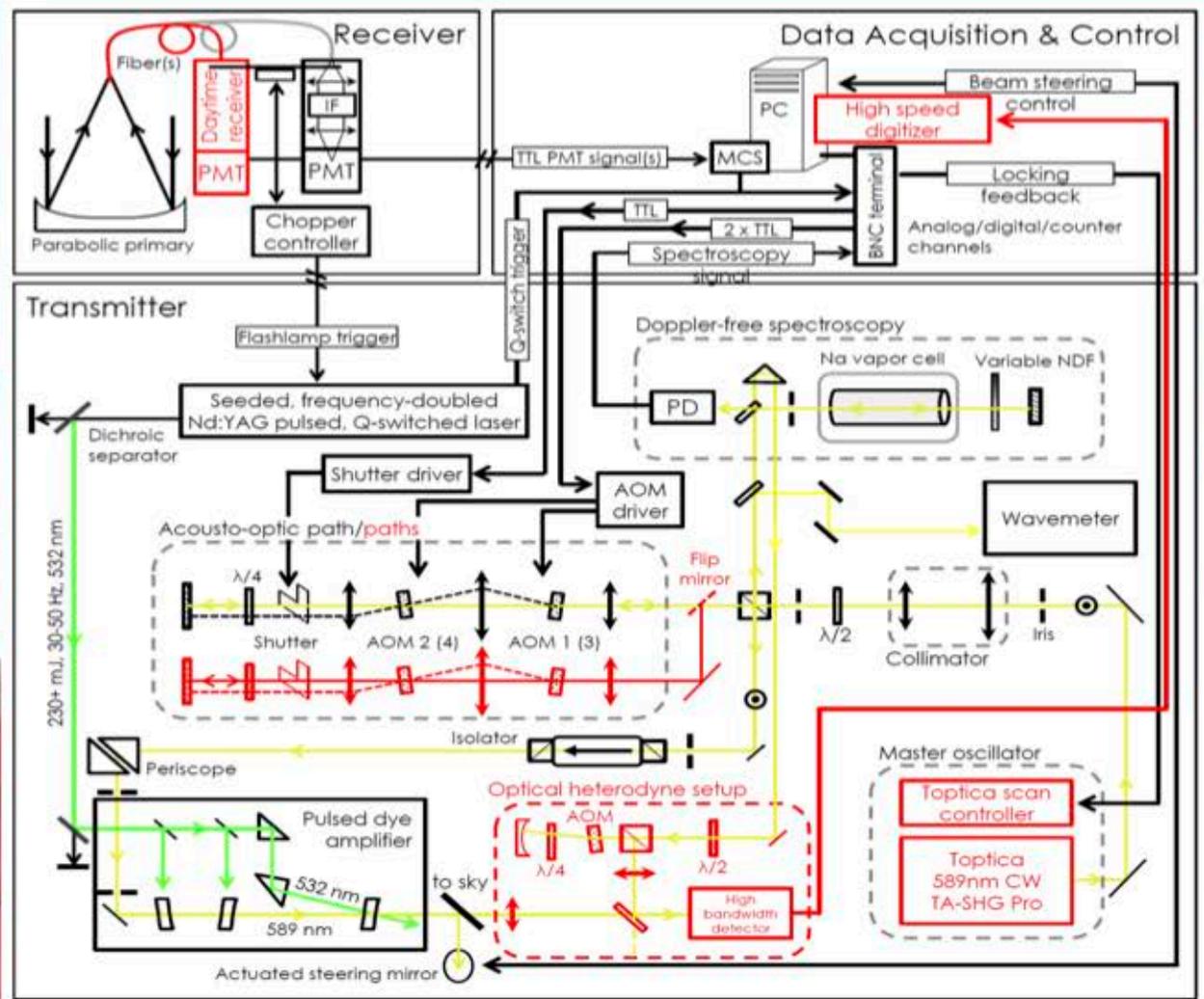
[Vadas and Becker, JGR, 2019]



A paradigm shift: Energy and momentum are transferred from lower atmosphere sources to the MLT via a complex multi-step coupling processes involving primary, secondary, and tertiary gravity waves.

**Convection is absent from winter Antarctica. Is it possible to form a big picture of gravity wave coupling from near the surface to the thermosphere in Antarctica?**

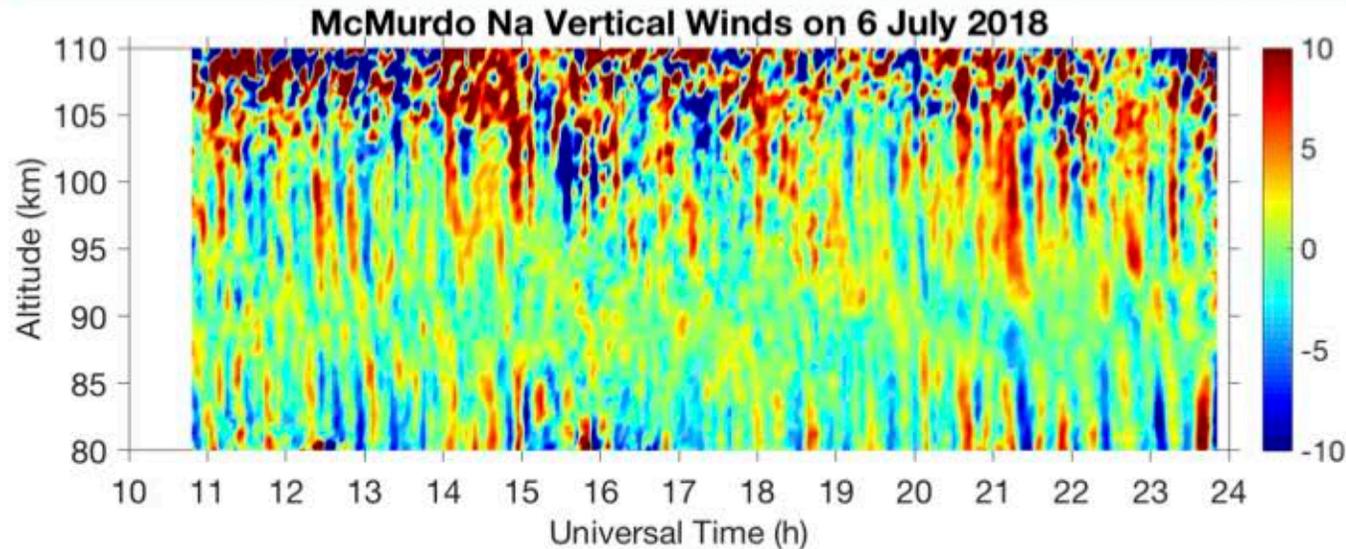
# High-Resolution STAR Na Doppler Lidar



@ Arrival Heights

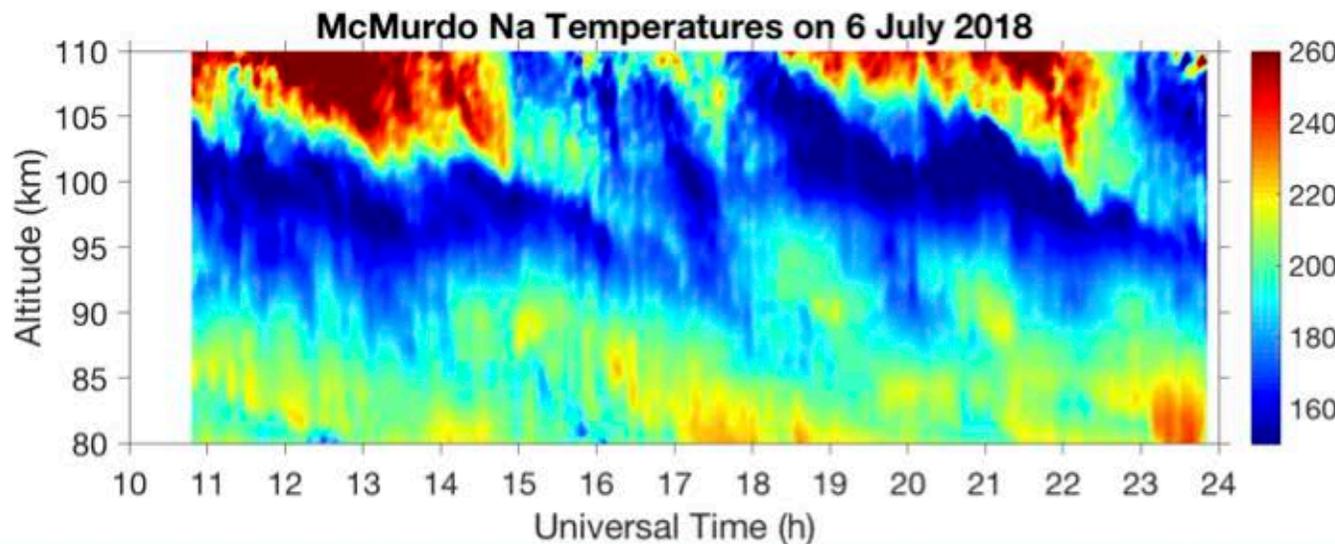
By making high-precision laser spectroscopy in space, the neutral temperature, line of sight wind, and Na density are measured simultaneously via detecting the Doppler broadening and bulk Doppler shift of Na D<sub>2</sub> absorption line.

# High-Resolution Vertical Wind & Temp Obs.



High-freq. waves  
 $\tau \sim 10\text{-}20$  min  
Very obvious on W  
Still visible on T

$$\tilde{T} \approx -\frac{iN^2}{g\hat{\omega}}\tilde{w}$$

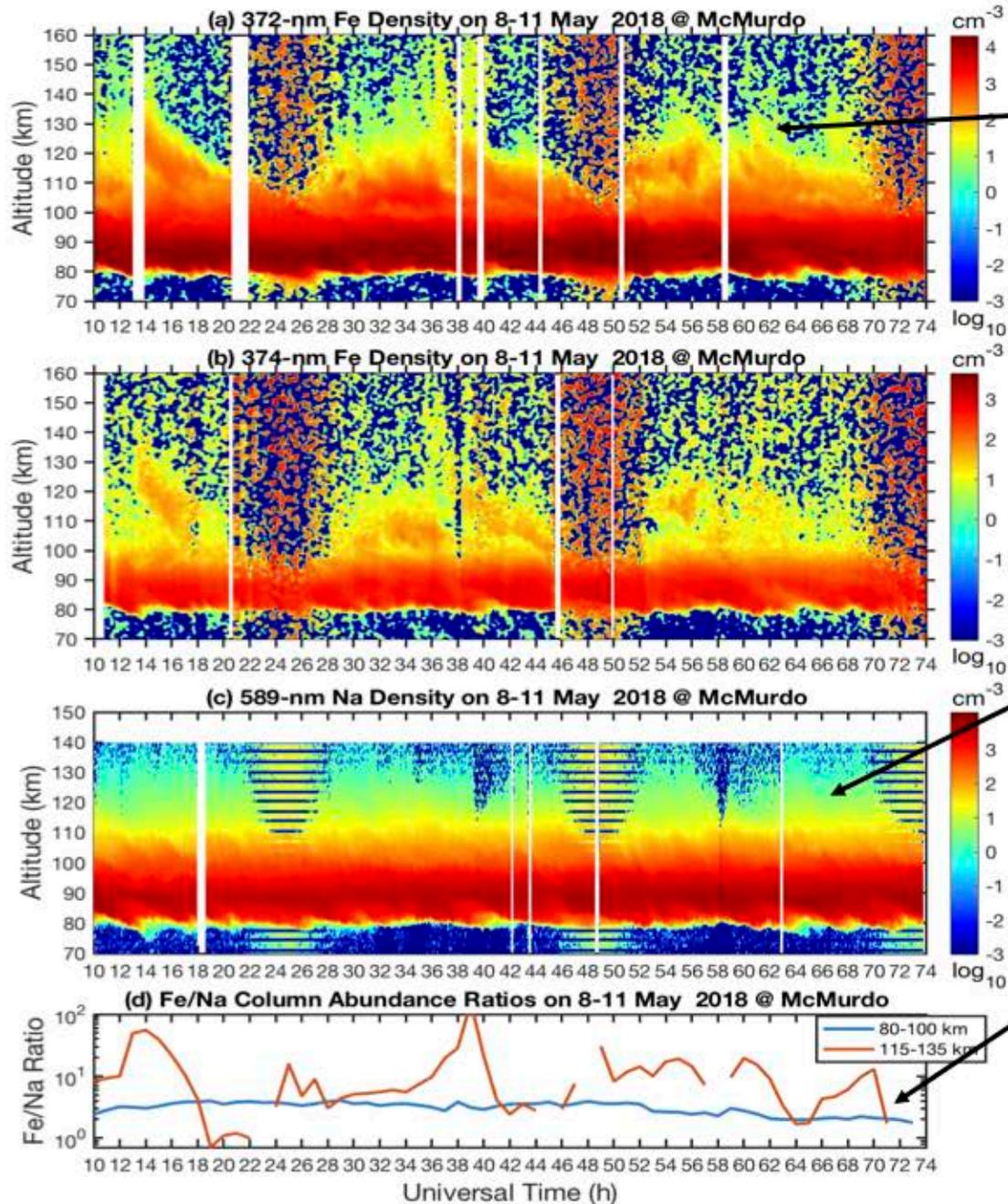


Persistent waves  
 $\tau \sim 3\text{-}10$  hr  
Dominate T variations  
Still visible on W

Resolutions used  
 $\Delta t = 3\text{--}6$  min  
 $\Delta z = 0.5\text{--}1$  km

High-frequency gravity waves are observed with Na lidar in Antarctica.  
Both secondary and tertiary gravity wave generation are possible.

# Simultaneous TIFe and TINA Observations



8-11 May 2018

Very dynamical TIFe layers with high contrast plus some "regular" TIFe peaking around 6-7 UT

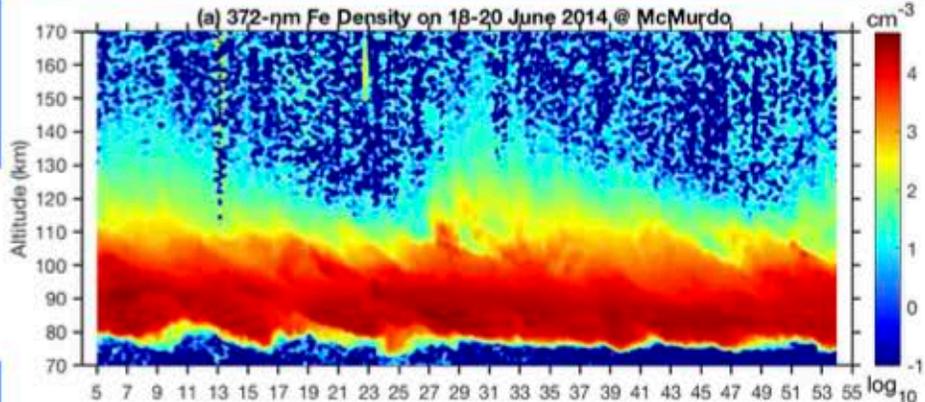
**Stunning distinction  
TIFe vs. TINA  
Above 105 km**

"Diffuse" distribution of TINA throughout night plus TINA layers at time similar to TIFe layers

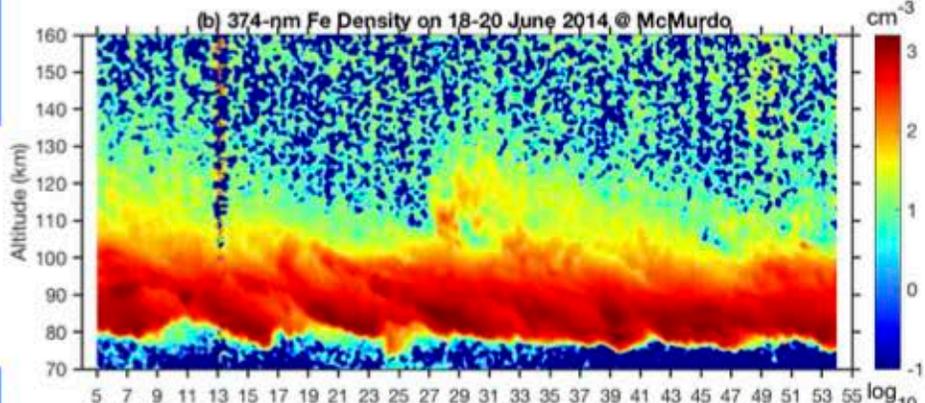
Mesospheric Fe/Na column abundance ratio is  $\sim 3$ , but the TIFe/TINA ratio varies significantly from  $<1$  to  $\sim 55$  or higher

# High Sensitivity to Detect Diurnal Cycles of TFe, PMC & V. Winds

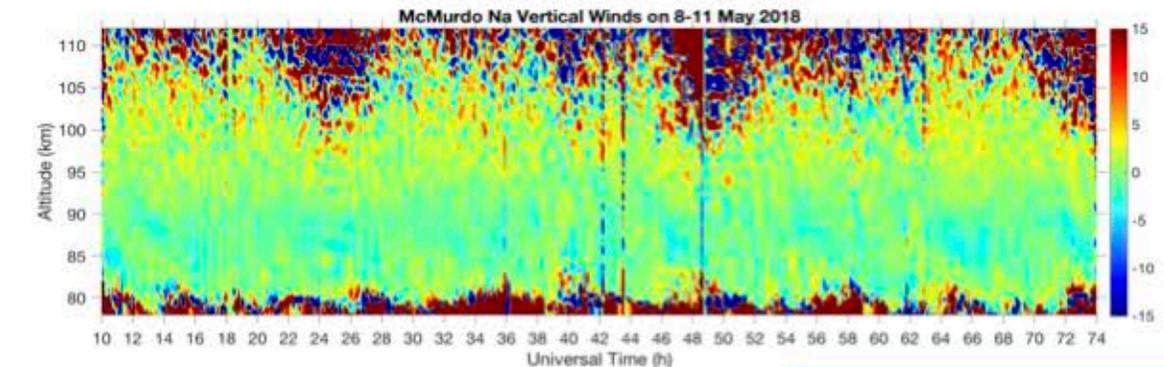
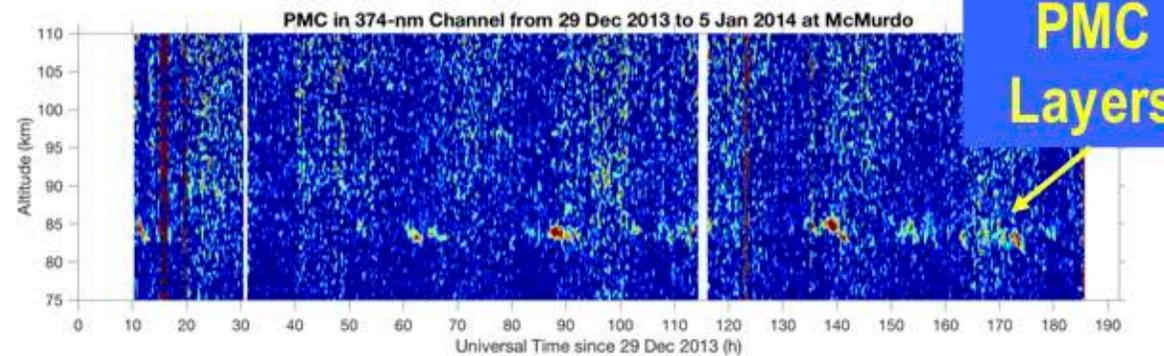
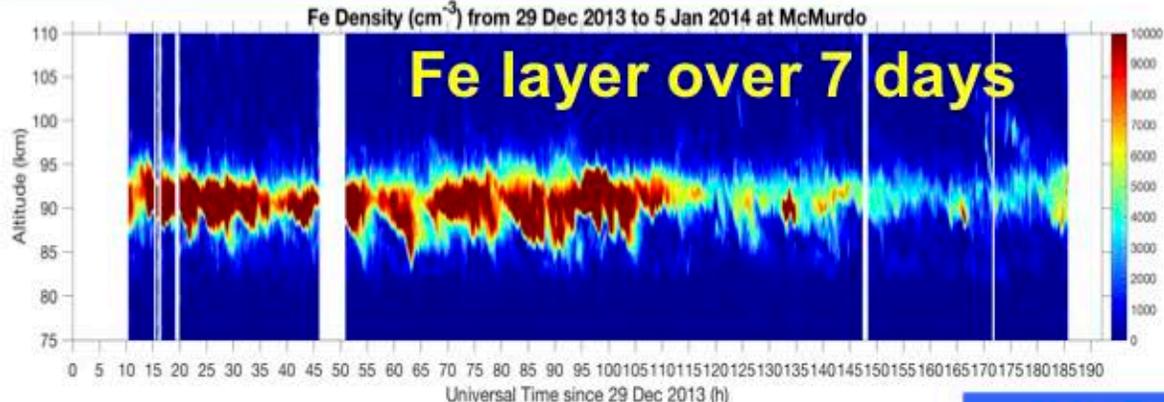
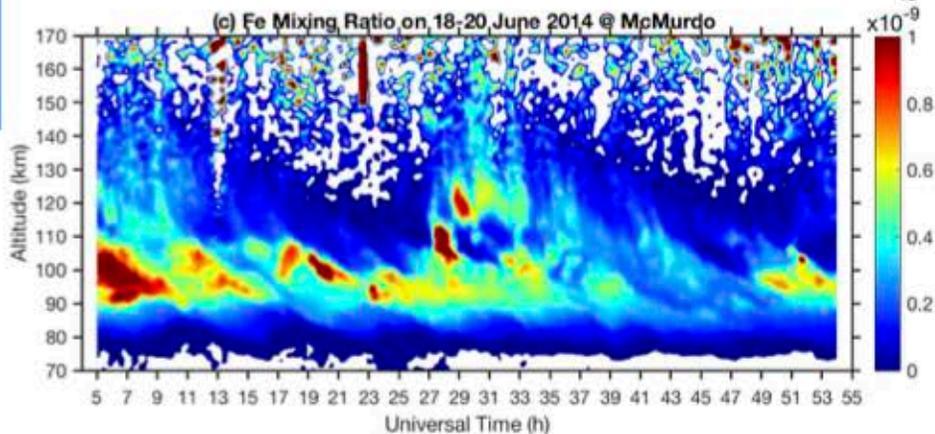
TiFe Layer  
372 nm



TiFe Layer  
374 nm



Fe Mixing  
Ratio



Vertical Winds



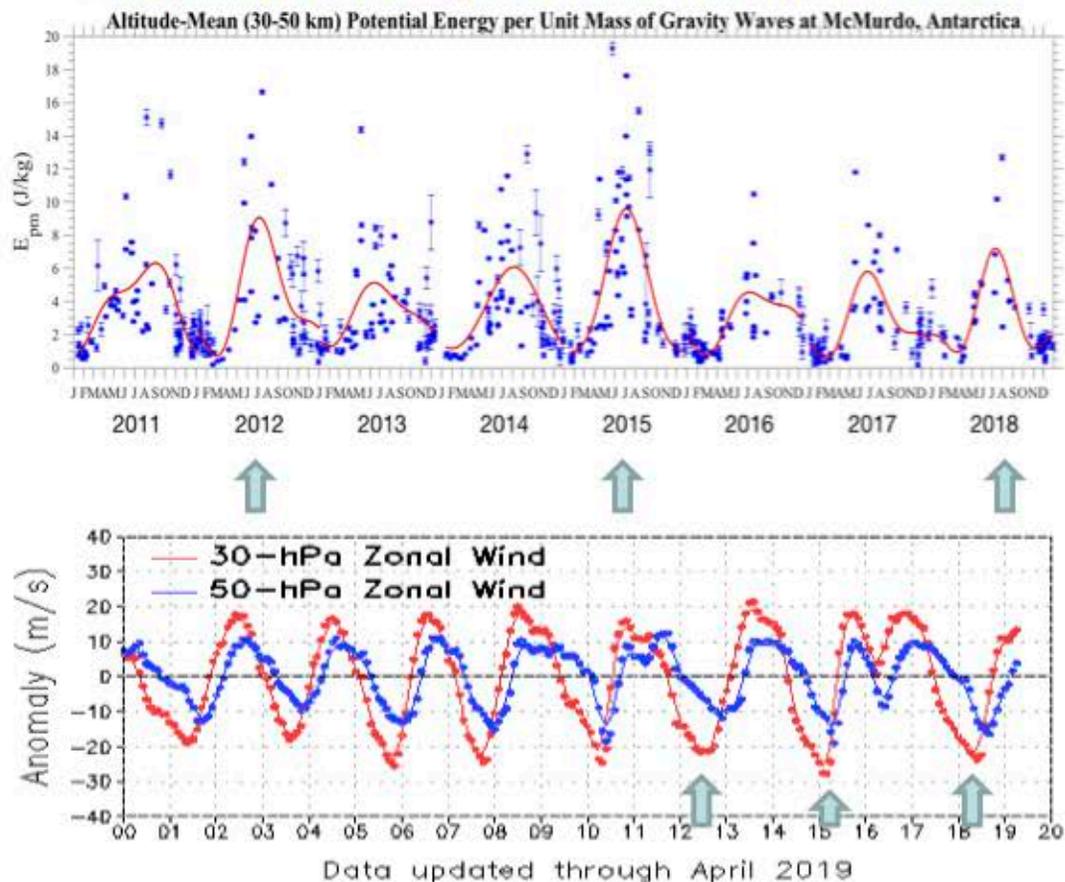
Zimu Li  
Winter-over 2019



Zhuoying Chen

# Surprising Results from ~9 Years of Lidar Data

## 78°S Epm (30-50 km) versus Equatorial QBO Easterly Phase

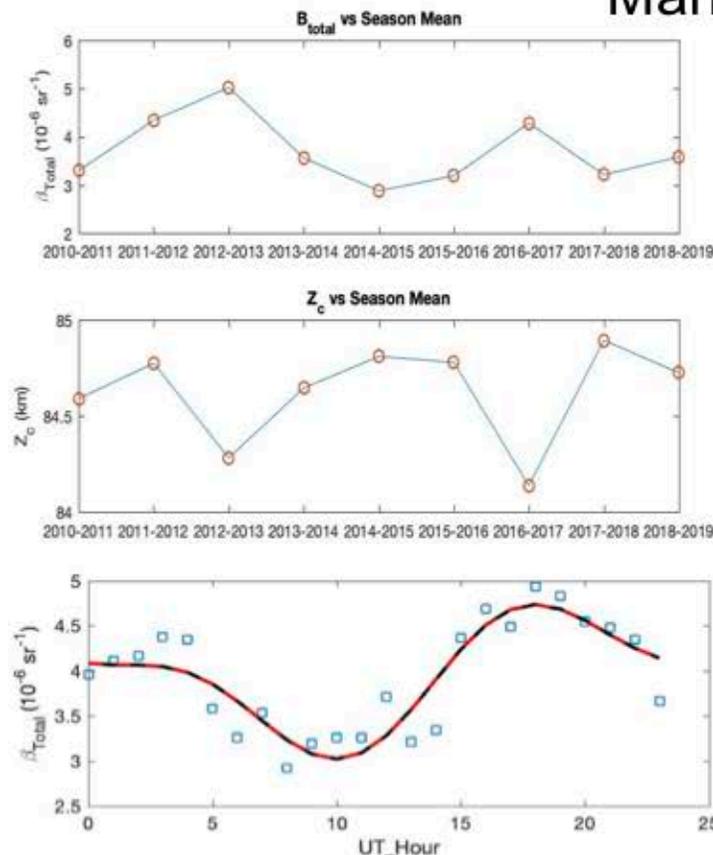


[Chen, Li, et al., CEDAR poster, 2019]



Manuel Lindo

## 9 Years of PMC vs. solar cycle or lack of it?



[Lindo et al., CEDAR poster, 2019]

PMC  
Diurnal

# Concluding Remarks and Outlook

- 1) Synthesis of McMurdo lidar observations, numerical modeling and GW theories leads to a new picture of gravity wave coupling from the lower atmosphere to the thermosphere via secondary GW generation and multi-step coupling concepts. → a paradigm shift?
- 2) Still many remaining questions, e.g., wave impacts on transport & circulation, high-freq gravity waves, tertiary waves, MWs, ... questioning our own interpretations every day.
- 3) Lidar observations at McMurdo provide huge potentials to the CEDAR--GEM sciences ... What we have studied is just the tip of the iceberg, and many more are awaiting ...



May we use the entire Antarctica as a natural laboratory to advance and test theories of gravity waves, TIMt layers, and A-I-M coupling, etc.?

# Gratefully Acknowledge the Tremendous Contributions by Winter-Over Students, Summer Scientists, and Collaborators



Zhibin Yu	PhD	2014	TiFe layers: lidar observations and numerical modeling
John A. Smith	PhD	2014	Na and Fe Doppler lidar development & Mach-Zehnder Inter.
Weichun Fong	PhD	2015	Temp tides and aurora effects on temp, & lidar development
Cao Chen	PhD	2016	Persistent gravity waves & wave recognition methodology
Jian Zhao	PhD	2018	Gravity waves in the stratos. & secondary GW generation

Other winter-overs: Zimu Li, Ian Geraghty, Brendan Roberts, Ian Barry, Zhengyu Hua, D. Chang  
 Summer scientists: Wentao Huang, Xian Lu, Zhangjun Wang, Muzhou Lu, Mike Lotto  
 Collaborators: Sharon Vadas, Erich Becker, Chester S. Gardner, Art Richmond, Tim Fuller-Rowell, Lynn Harvey, Adrian McDonald, Mike Jones, John Plane, Jeff Forbes, Bob Robinson, R. Bishop, Zhonghua Xu, Delores Knipp, Hanli Liu, Qian Wu, Mike Taylor, Y. Zhao, D. Pautet, S. Palo, ... ..

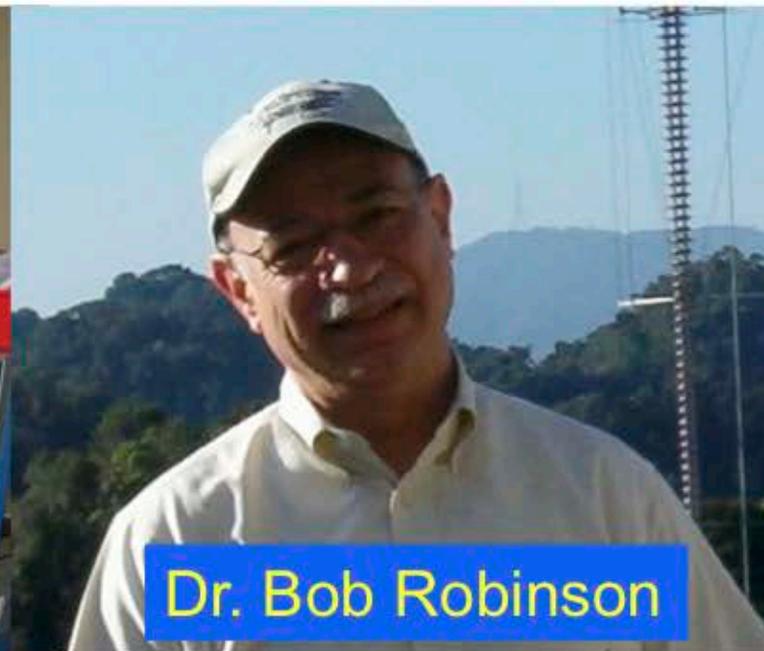
# Special Thanks to Great Mentors



Dr. Chet Gardner



Dr. Volodya Papitashvili



Dr. Bob Robinson



Dr. Art Richmond



Dr. Jeff Forbes



Dr. Joe She

# Lidar “Geeks” to Explore the Unknown

Wholehearted Gratitude to NSF, USAP, and Antarctica New Zealand



**National Science Foundation -- where discoveries begin**

**Do not follow where the path may lead.**

**Go instead where there is no path & leave a trail.**