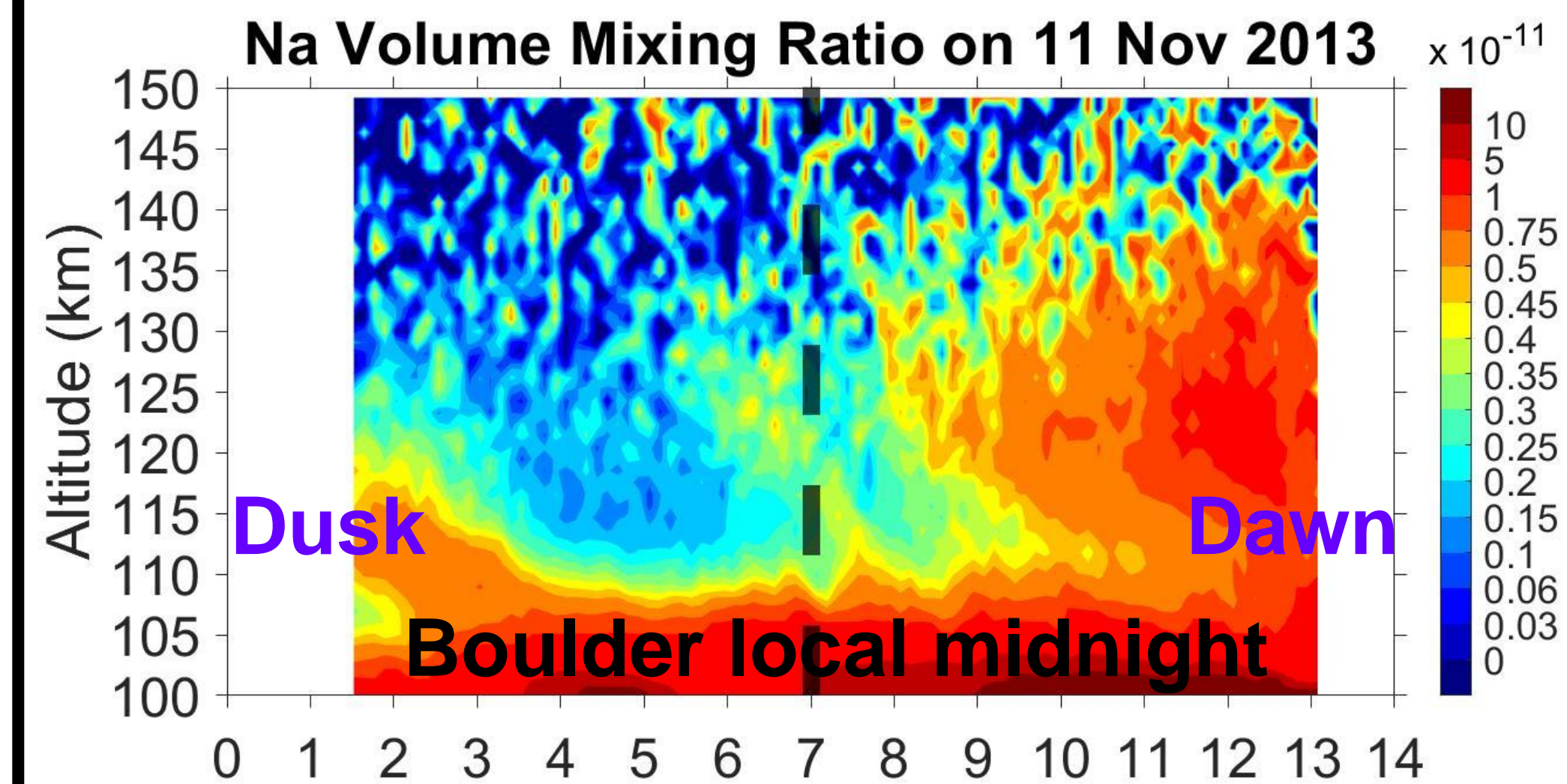


# Annual Variations of Pre-Dawn Thermosphere-Ionosphere Na (TINa) Layers Observed by Lidar over Boulder and their Relationship to Sunrise and Tidal Winds

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## Introduction & Motivations



- [Chu, et al., 2021] Universal Time (h)
- TINa (thermosphere-ionosphere Na) layers:**
    - Regular occurrence is reported in 2021.
    - TINa occurs after dusk and before dawn.
    - Formation Mechanism: Neutralization of converged TINa<sup>+</sup> ions via recombination with electrons produces neutral TINa (TINa<sup>+</sup> + e<sup>-</sup> → TINa + hν).

- Importance:**
  - Tracers to make direct measurements in “thermospheric gap” (100–200 km).
  - Study fundamental processes in the space-atmosphere interaction region.
- Motivation:**
  - Investigate relationship between predawn TINa, sunrise and thermal tides to better study TINa formation mechanism.

## Data & Methodology

- Data:**
  - Na Lidar Observations (STAR Lidar)**
    - Table Mountain Obs. (40.13°N, 105.24°W)
    - Time range: From 2011 to 2017
    - Laser tuned to Na absorption line at 589 nm
  - CTMT (Climatological Tidal Model of the Thermosphere) [Oberheide et al., 2011]**
    - HME (Hough Mode Extensions) modeling using a physics-based empirical model
    - 6 diurnal and 8 semidiurnal tidal components
    - Components in-situ generated not included
  - ICON (Ionospheric Connection Explorer) HME data [Cullens et al., 2020]**
    - HME fit to MIGHTI data from 90 to ~109 km
    - Latitudinal range: 10°S–42°N
    - Diurnal and semidiurnal tides (no terdiurnal)
- Calculation of vertical drift velocity of Na<sup>+</sup>**

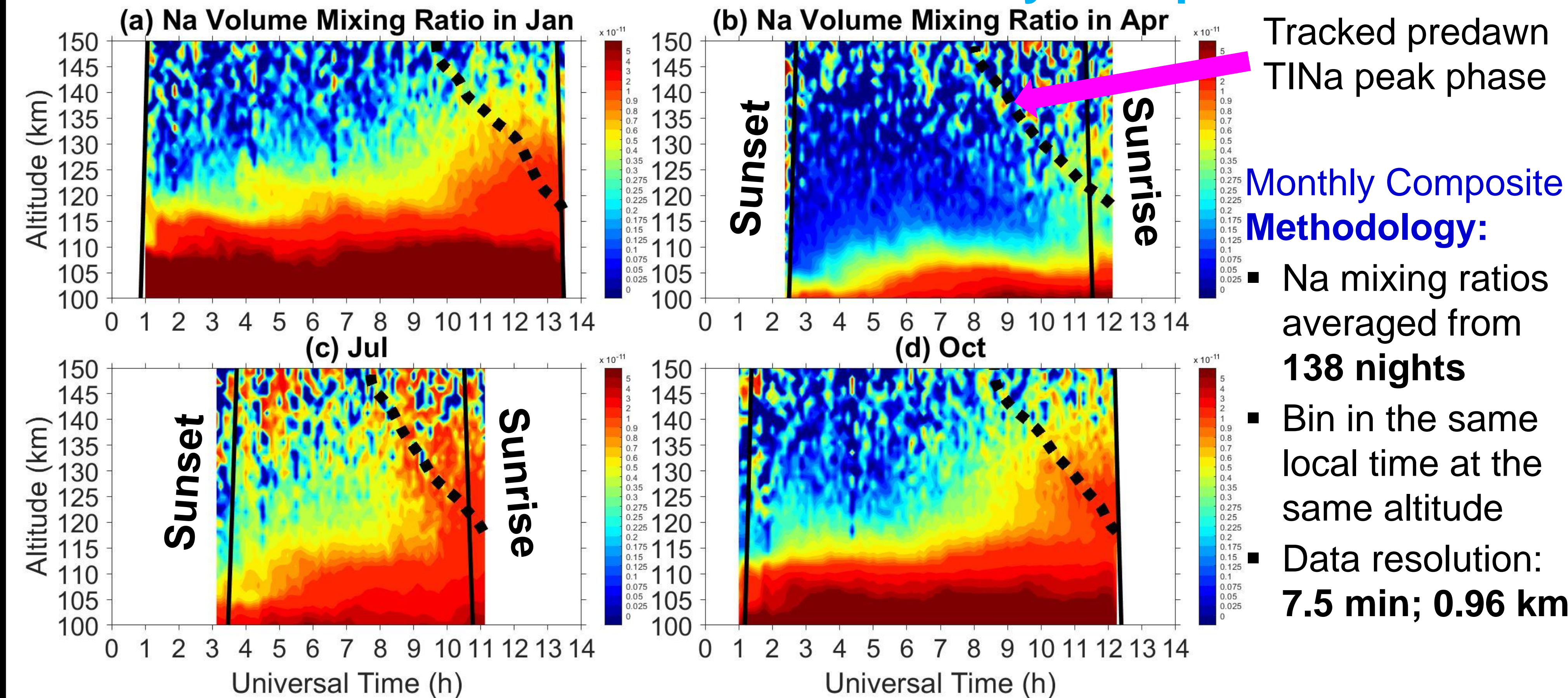
$V_{izw}$  induced by tidal neutral winds in zonal ( $V_{n,x}$ ), meridional ( $V_{n,y}$ ), and vertical ( $V_{n,z}$ ) directions.

$$V_{izw} = \frac{\xi \cos \theta_D}{1 + \xi^2} V_{n,x} - \frac{\sin(2\theta_D)}{2(1 + \xi^2)} V_{n,y} + \left(1 - \frac{\cos^2 \theta_D}{1 + \xi^2}\right) V_{n,z}$$

$\xi$ : ion-neutral collision frequency to the gyro frequency of Na<sup>+</sup>;  $\theta_D = 66.55^\circ$ : Boulder dip angle

→ Vertical shears of horizontal winds converge TINa<sup>+</sup> ions explained in wind shear mechanisms.

## Result 1: First Characterization of Monthly Composites of TINa

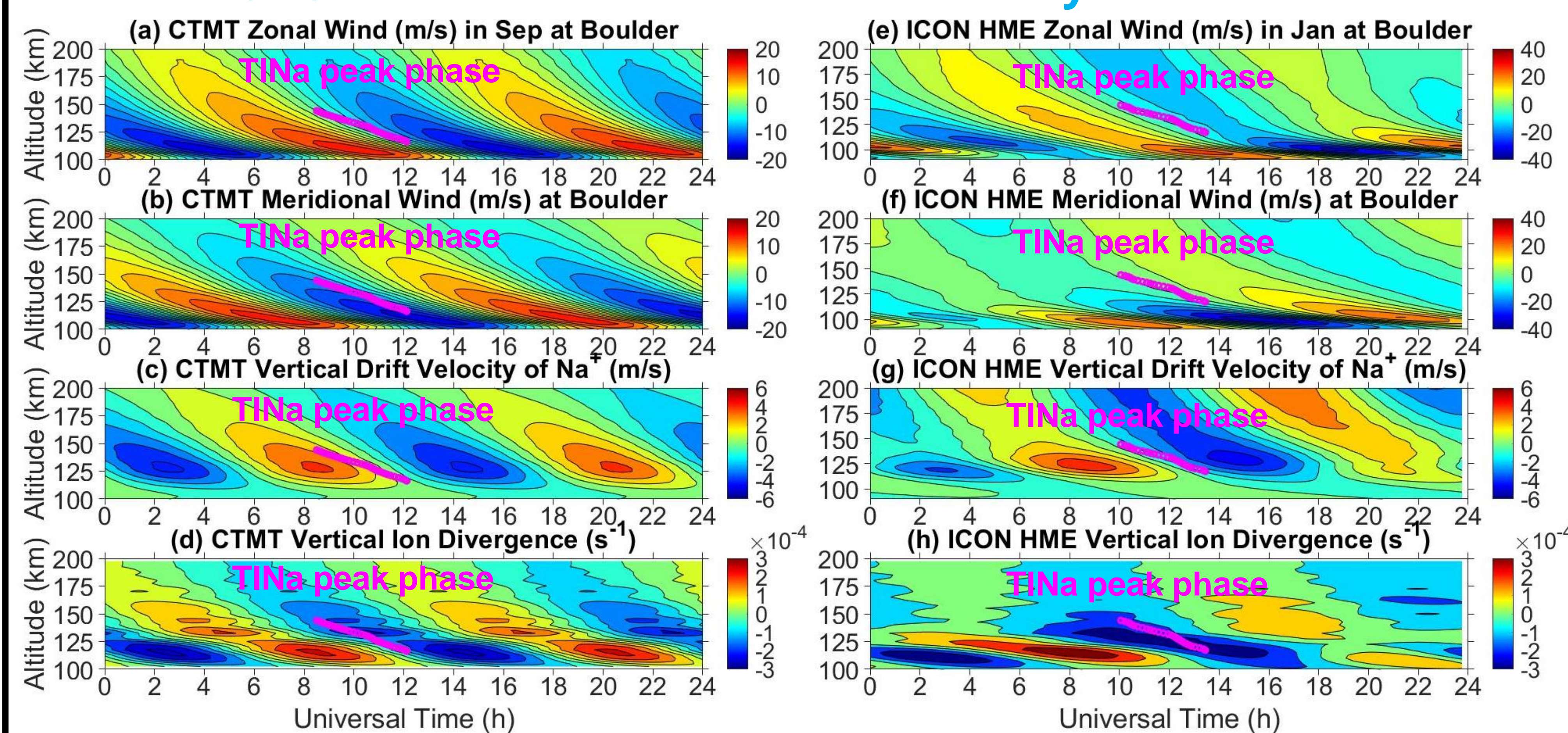


**Figure 1.** Monthly composite contours of Na volume mixing ratios of pre-dawn TINa layers from 100 to 150 km for January, April, July and October over Boulder (40.13°N, 105.24°W), Colorado.

### Key Points:

- Elevated Na mixing ratios exhibit continuous downward phase progression from the top altitudes (roughly 135–150 km) to ~110 km in each month (pre-dawn TINa layers).
- Occurrence time of pre-dawn TINa layers apparently shifts with seasons, related to sunrise.

## Result 3: Correlation of Pre-Dawn TINa Layers to Tidal Winds



**Figure 3.** Neutral horizontal (zonal and meridional) winds provided by CTMT in September (left column) and ICON HME in January (right column) tidal winds (SW2 + DW1). The bottom two rows show Vertical ion drift velocity ( $V_{izw}$ ) of TINa<sup>+</sup> ions and Vertical ion divergence ( $\partial V_{izw}/\partial z$ ) calculated using the winds in top two rows.

### Top 2 Rows:

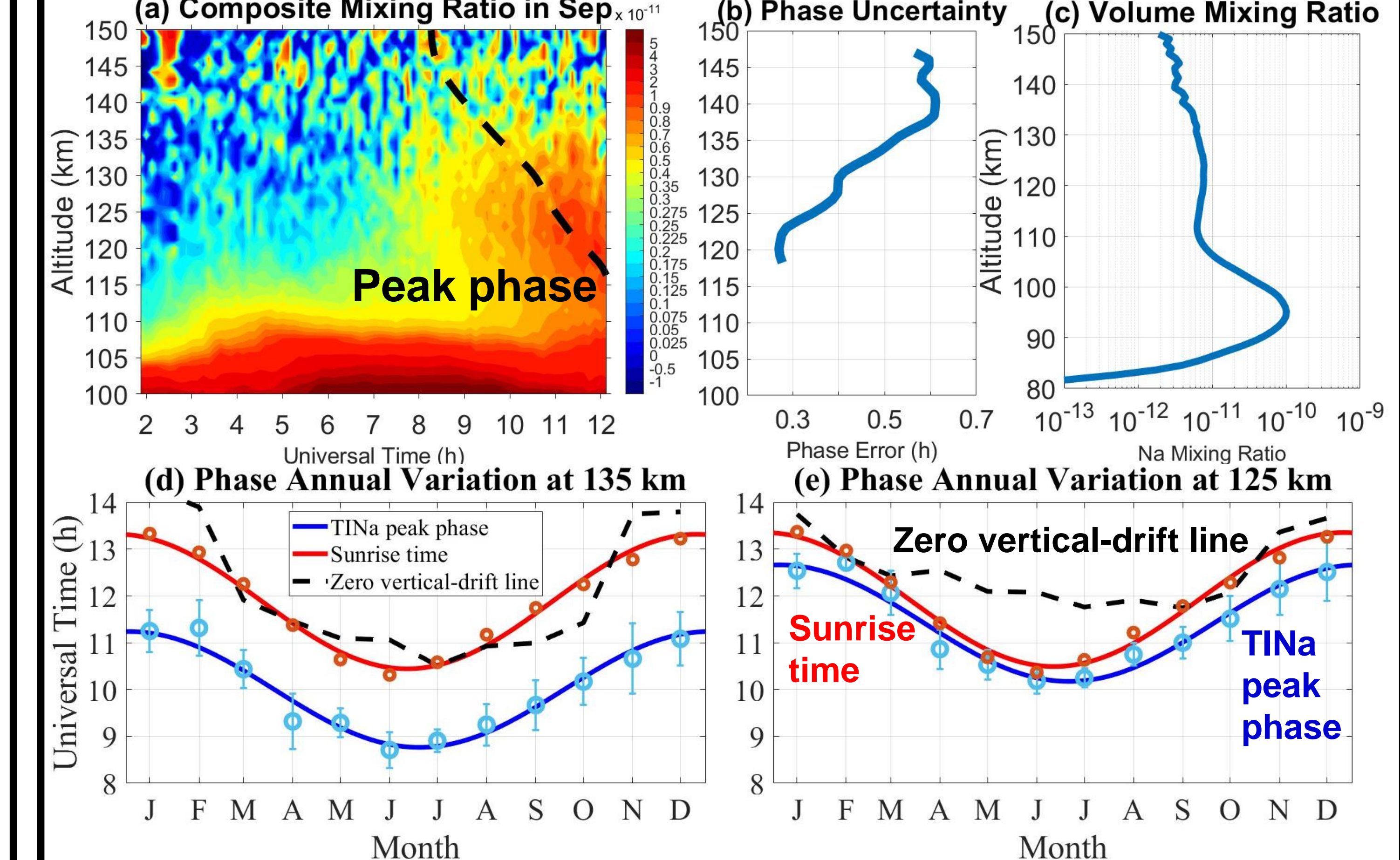
- Pre-dawn TINa peak phases descend along wind phase lines and lie closely to the vertical shears of zonal, meridional winds in both CTMT and ICON HME.
- Because eastward and southward (westward and northward) winds transport ions upward (downward), these shears of horizontal winds cause ions to converge.

### Bottom 2 Rows:

- Occurrence region of pre-dawn TINa layer corresponds where vertical ion divergence is negative ( $\frac{\partial V_{izw}}{\partial z} < 0$ ), i.e., the region where TINa<sup>+</sup> ions converge to increase concentrations.

**Key point:** Similarity between descent rates of TINa and modeled ion convergence regions supports the formation mechanism, i.e., neutralization of converged TINa<sup>+</sup> ions forming TINa.

## Result 2: TINa Phase Annual Variations



**Figure 2.** (a) Peak phase tracking of pre-dawn TINa in Sep. (b) Uncertainty. (c) The altitude profile of pre-dawn TINa mixing ratio in monthly composite. (d-e) Vertical ion convergence line overplotted on the pre-dawn TINa peak phase and sunrise time.

### Pre-dawn TINa Peak Phase Determination:

- 2D Hamming smoothing windows with full widths of 0.5 hr and 5 km for minimizing noise to better determine peak mixing ratios.
- Phase uncertainty: Standard deviation of various nights' phases.

### Key Points:

- Annual variations of TINa peak phase and sunrise time are strikingly similar—earliest in summer and latest in winter.
- CTMT phases of semidiurnal westward-propagating tidal winds (SW2) undergo similar annual variations as sunrise and TINa.
- Phases of migrating tidal winds in lower thermosphere have annual variations, which in turn drive TINa phase variations.

## Conclusions and Outlook

- In this study, after utilizing seven years of Boulder Na lidar data
- We statistically characterized **monthly composites** of TINa.
  - We discovered the pre-dawn TINa layer phase undergoes clear **annual variations**, which are closely correlated to the annual phase variations of **both sunrise and migrating tidal winds**.
  - We found the pre-dawn TINa neutral layers **descend at similar rates** as, and in the **vertical ion convergence** regions, modeled with the migrating tidal winds data.
  - These results suggest migrating tidal winds play the major role in TINa<sup>+</sup> ion convergence thus strongly influencing the phase annual variations of pre-dawn TINa layers.

### Future Work:

- Monthly-composite TINa layers exhibit **annual variations in the TINa volume mixing ratios**, which deserve future investigation.
- Develop model to integrate factors like **ionospheric electric fields, mean background winds, and in-situ generated tides** together and quantitatively study TINa formation mechanism.

Chen and Chu (2023). Phase Annual Variations and Correlation with Sunrise and Tidal Winds. *Geophysical Research Letters*, in revision.

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