LIDAR Tutorial on CEDAR Student Workshop 2009

# A New Horizon: LIDAR Exploration of Atmosphere and Space

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# **Light Detection And Ranging**

- LIDAR Fundamentals
- Physical Interactions in Lidar
- Lidar for CEDAR Science
- Lidar into Future & Space
- Concluding Remarks





# **Concept of Remote Sensing**

Remote Sensing is the science and technology of obtaining information about an object without having the sensor in direct physical contact with the object. -- opposite to *in-situ* methods

> Radiation interacting with an object to acquire its information remotely



SODAR: Sound Detection And Ranging RADAR: Radiowave Detection And Ranging LIDAR: Light Detection And Ranging





# **Light Detection And Ranging**



Time of Flight  $\Rightarrow$  Range / Altitude R = C  $\Delta t$  / 2

## **From Searchlight to Modern Lidar**

> Light detection and ranging (LIDAR) started with using CW searchlights to measure stratospheric aerosols and molecular density in 1930s.

> Hulburt [1937] pioneered the searchlight technique. Elterman [1951, 1954, 1966] pushed the searchlight lidar to a high level and made practical devices.

The first laser – a ruby laser was invented in 1960 by Schawlow and Townes [1958] (fundamental work) and Maiman [1960] (construction). The first giant-pulse technique (Q-Switch) was invented by McClung and Hellwarth [1962].

> The first laser studies of the atmosphere were undertaken by Fiocco and Smullin [1963] for upper region and by Ligda [1963] for troposphere.

### From Aerosol Detection to Spectral Analysis

> The first application of lidar was the detection of atmospheric aerosols and density: detecting only the scattering intensity but no spectral information.

> An important advance in lidar was the recognition that the spectra of the detected radiation contained highly specific information related to the species, which could be used to determine the composition of the object region. Laserbased spectral analysis added a new dimension to lidar and made possible an extraordinary variety of applications, ranging from groundbased probing of the trace-constituent distribution in the tenuous outer reaches of the atmosphere, to lower atmosphere constituents, to airborne chlorophyll mapping of the oceans to establish rich fishing areas.

### **Lidar Configuration**



**Bistatic Configuration** Monostatic Configuration

CW searchlight  $\rightarrow$  ns laser pulse

### **Picture of Lidar Remote Sensing**



### **Lidar Equation**

General lidar equation with angular scattering coefficient



 $\square$  General lidar equation in angular scattering coefficient  $\beta$  and extinction coefficient  $\alpha$  form

$$N_{S}(\lambda, R) = \left[\frac{P_{L}(\lambda_{L})\Delta t}{hc/\lambda_{L}}\right] \left[\beta(\lambda, \lambda_{L}, \theta, R)\Delta R\right] \left(\frac{A}{R^{2}}\right)$$
$$\cdot \exp\left[-\int_{0}^{R} \alpha(\lambda_{L}, r')dr'\right] \exp\left[-\int_{0}^{R} \alpha(\lambda, r')dr'\right] \left[\eta(\lambda, \lambda_{L})G(R)\right] + N_{B}$$

## **Illustration of LIDAR Equation**



 ≻ Higher signal level ⇒ high signal-to-noise ratio ⇒ better precision/resolution
 > Lidar signal level is a game of laser power, telescope aperture, effective crosssection, constituent density, detector and filter performances, etc.

# **Biaxial vs. Coaxial Arrangements**



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# "Fancy" Lidar Architecture

#### Transceiver

(Light Source, Light Collection, Lidar Detection)

### Data Acquisition & Control System



**Courtesy to Geary Schwemmer** 

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Holographic

**Optical** 

Element

(HOE)

## **VAD Technique for Vector Wind**

Velocity-Azimuth-Display (VAD) technique: swing lidar beam through 360° azimuth at a fixed elevation angle – lower atm lidar.



## **DBS Technique for Vector Wind**

Doppler-Beam-Swinging (DBS) technique: pointing lidar beam to vertical, north, and east, or plus south and west (ZNEZSW).



 $V_R > 0$ , w > 0, u > 0, v > 0 for wind towards away, upward, east, and north CEDAR Lidar Tutorial 13



### **Elastic and Inelastic Scattering**



 $N_{s}(f_{s})$ 

0

Frequency Offset (MHz)

Atomic absorption & (resonance) fluorescence

Molecular elastic and inelastic scattering, absorption and fluorescence

50 m/s

+50 m/s

2000

0 m/s

N\_(f+)

1000





### Fluorescence Lidar



□ The fluorescence signals indicate the presence of high organic (chlorophyll) and enable the dispersion of various kinds of effluent plumes to be remotely mapped. 16

## **Laser Rangefinding Techniques**

□ The basic principle of active noncontact rangefinding systems is to project a wave (radio, ultrasonic, or optical) onto an object and process the reflected signal to determine its range. If a high resolution rangefinder is needed, an optical source must be chosen because radio and ultrasonic waves cannot be focused adequately.

□ There are mainly three types of rangefinding techniques: (1) Time of flight techniques: this is for the majority of laser range finder; (2) Geometric-based technique: the classical triangulation by projection of a light beam onto a target; (3) Interferometry: using interferometry principle to measure distance to high accuracy.

Time-of-flight techniques include 1) pulsed laser rangefinding, 2) cw beam amplitude modulation – the phase-shifting rangefinding technique, and 3) chirp pulse compression.

□ The main applications of laser rangefinding techniques, in addition to distance measurements, are obstacle detection for autonomous robots or car safety, nondestructive testing, level control, profilometry, displacement measurements, 3–D vision, and so on.

# Laser Altimeter ICESat

First laser altimeter started in late 1960s.

□ Time-of-flight information from a lidar system can be used for laser ranging and altimetry from airborne or spaceborne platforms to measure the heights of surfaces with high resolution and accuracy.

Apollo laser altimeter in 1971 mapping lunar surface was the first ever lidar in space. ICESat/GLAS provide information on Earth topography and ice coverage.





### **Altitude Determination**



□ The reflected pulses from solid surfaces (earth ground, ice sheet, etc) dominant the return signals, which allow a determination of the time-of-flight with much higher resolution than the pulse duration time.

The range resolution is determined by the resolution of the timer for recording pulses, and can be further improved by computing the centroid.
 Altitude accuracy will be determined by the range accuracy/resolution and the knowledge of the platforms where the lidar is on. Interference from aerosols and clouds can also affect the altitude accuracy.

Altitude = Platform Base Altitude - Range ± Interference of aerosols and clouds

### **Physical Interactions in Lidar**



70-120 km and above 120 km: resonance fluorescence (Fe, Na, K, He, O, N<sub>2</sub><sup>+</sup>) Doppler, Boltzmann, differential absorption lidar

□ Airglow, FP Interferometer

Molecule & aerosol scattering, Rayleigh and Raman integration, direct detection Doppler lidar

Molecular species, differential absorption and Raman lidar

Molecule & aerosol scattering High-spectral resolution lidar, Coherent detection Doppler lidar, Direct detection Doppler lidar, Direct motion detection tech (tracking aerosols, LDV, LTV)

## Na Doppler (Wind & Temp) Lidar



**Energy Level Diagram of Atomic Na** 



**Resonance Fluorescence, Frequency Analyzer in Atmosphere** 

### Full-Diurnal Multiple-Beam Obs.







# Large Aperture for High Precision





#### UIUC Na Wind & Temperature Lidar Coupled with Large Telescope



### Fe Boltzmann Temperature LIDAR



[Gelbwachs, 1994; Chu et al., 2002]

### **Shuttle Formed High-Z Sporadic Fe**



## **DIAL & Raman Lidar for Trace Gases**

The atmosphere has many trace gases from natural or anthropogenic sources, like H<sub>2</sub>O, O<sub>3</sub>, CO<sub>2</sub>, NOx, CFC, SO<sub>2</sub>, CH<sub>4</sub>, NH<sub>3</sub>, VOC, etc.

Can we use resonance fluorescence to detect them?

Quenching effects due to collisions make fluorescence impossible in lower atmosphere for molecules.

We still need spectroscopy detection - differential absorption and Raman lidars!



### **Raman Lidar for Water Vapor**



H<sub>2</sub>O molecules exhibit specific spectra - fingerprints!
 Raman lidar catches this 'fingerprints' and avoid the aerosol scattering in the Raman-shifted channel. Thus, only aerosol extinction will be dealt with in deriving H<sub>2</sub>O mixing ratio.

### **DIAL for Ozone in Two Decades**



### **Rayleigh + Raman Integration Lidar**



### **Direction-Detection Doppler Lidar**

Fringe-imaging

In lower atmosphere, Rayleigh and Mie scattering experiences Doppler shift and broadening.

However, there is no frequency analyzer in the atmosphere, so the receiver must be equipped with narrowband frequency analyzers for spectral analysis.

From

telescope



Fringe-Imaging

Annular detector

## **Coherent Doppler Wind Lidar**

□ "Heterodyne" Detection from aerosol scattering: the return signal is optically mixed with a local oscillator laser, and the resulting beat signal has the frequency (except for a fixed offset) equal to the Doppler shift.





#### NOAA HRDL 31

### **Backscatter Cross-Section Comparison**

<b>Physical Process</b>	Backscatter Cross-Section	Mechanism
Mie (Aerosol) Scattering	10 <sup>-8</sup> - 10 <sup>-10</sup> cm <sup>2</sup> sr <sup>-1</sup>	Two-photon process Elastic scattering, instantaneous
Atomic Absorption and Resonance Fluorescence	10 <sup>-13</sup> cm <sup>2</sup> sr <sup>-1</sup>	Two single-photon process (absorption and spontaneous emission) Delayed (radiative lifetime)
Molecular Absorption	$10^{-19} \text{ cm}^2 \text{sr}^{-1}$	Single-photon process
Fluorescence From Molecule, Liquid, Solid	10 <sup>-19</sup> cm <sup>2</sup> sr <sup>-1</sup>	Two single-photon process Inelastic scattering, delayed (lifetime)
Rayleigh Scattering (Wavelength Dependent)	$10^{-27} \text{ cm}^2 \text{sr}^{-1}$	Two-photon process Elastic scattering, instantaneous
Raman Scattering (Wavelength Dependent)	$10^{-30} \text{ cm}^2 \text{sr}^{-1}$	Two-photon process Inelastic scattering, instantaneous

# Lidar Data Retrieval

### > Lidar data retrieval varies with lidar systems & detections.

$$\begin{cases} N_{S}(\lambda,z) = \left(\frac{P_{L}(\lambda)\Delta t}{hc/\lambda}\right) \left(\sigma_{eff}(\lambda,z)n_{c}(z)R_{B}(\lambda)\Delta z\right) \left(\frac{A}{4\pi z^{2}}\right) \left(T_{a}^{2}(\lambda)T_{c}^{2}(\lambda,z)\right) \left(\eta(\lambda)G(z)\right) + N_{B} \\ N_{R}(\lambda,z_{R}) = \left(\frac{P_{L}(\lambda)\Delta t}{hc/\lambda}\right) \left(\sigma_{R}(\pi,\lambda)n_{R}(z_{R})\Delta z\right) \left(\frac{A}{z_{R}^{2}}\right) T_{a}^{2}(\lambda,z_{R}) \left(\eta(\lambda)G(z_{R})\right) + N_{B} \end{cases}$$

#### Solutions:

$$n_{c}(z) = n_{R}(z_{R}) \frac{N_{S}(\lambda, z) - N_{B}}{N_{R}(\lambda, z_{R}) - N_{B}} \cdot \frac{z^{2}}{z_{R}^{2}} \cdot \frac{4\pi\sigma_{R}(\pi, \lambda)}{\sigma_{eff}(\lambda, z)R_{B}(\lambda)} \cdot \frac{1}{T_{c}^{2}(\lambda, z)}$$
Rayleigh normalization
$$R_{T} = \frac{N_{Norm}(f_{+}, z) + N_{Norm}(f_{-}, z)}{N_{Norm}(f_{pk}, z)} = \frac{\sigma_{eff}(f_{+}, z) + \sigma_{eff}(f_{-}, z)}{\sigma_{eff}(f_{pk}, z)}$$

$$R_{W} = \frac{N_{Norm}(f_{+}, z) - N_{Norm}(f_{-}, z)}{N_{Norm}(f_{pk}, z)} = \frac{\sigma_{eff}(f_{+}, z) - \sigma_{eff}(f_{-}, z)}{\sigma_{eff}(f_{pk}, z)}$$
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### **Main Process Procedure**

- Compute Doppler calibration curves from physics
- $\square$  Compute actual ratios  $R_{\tau}$  and  $R_{w}$  from photon counts
- □ Look up these two ratios on the calibration curves to infer the corresponding temperature and wind from isoline/isogram.



# Lidar Observables

Lidar raw data are usually photon counts versus time of flight.
 From photon counts, we retrieve directly the backscatter coefficient, density, temperature, wind, and depolarization factor.

> What science can we study from these measured parameters?

-- Thermal structure, dynamics, composition, and chemistry

> Temperature: a key fundamental parameter; essential to thermal structure, climate study, chemical reaction, tides, gravity waves, PW, polar mesospheric and stratospheric clouds, weather forecast, ...

Wind: a key fundamental parameter; essential to dynamical structure, wave dynamics, fluxes, gravity waves, tides, PW, weather forecast, atmospheric coupling, ...

Backscatter coefficient and depolarization factor: aerosols and clouds for their physical, optical, and microphysical characteristics (altitude, width, brightness, particle size, shape, and density) ...

Density: minor species, composition, chemistry, dynamic test, ...

### **CEDAR Science: Thermal & Dynamics**



#### [Pan and Gardner, 2003]



#### Arecibo (18.35°N)



#### [Friedman and Chu, 2007]







### **CEDAR Science: Thermal & Dynamics**

### ➢ Perturbations of temperature, wind, or density ⇒ waves

How to derive perturbations or how to estimate background? -- Various ways, here is a good one.

> Vertical fluxes are used to characterize momentum, heat and constituent transport by atmospheric gravity waves (AGWs) when waves experience dissipation, due to instability, nonlinear wave-wave interaction and wave-mean flow interactions, and critical level filtering.

> Vertical heat flux <w'T'> is defined as the expected value of the product of the vertical wind and temperature perturbations.

> Vertical fluxes of horizontal momentum <w'u'> and <w'v'> are defined as the expected value of the product of the vertical wind and zonal and meridional wind perturbations.

Vertical fluxes are very challenging to measure as they require good accuracy at high resolution (~2 min & 1 km), & extremely long averaging time to obtain statistically significant flux estimates.



Entire paper with Appendix CEDAR Lidar Tutorial 38

### **CEDAR Science: Meteor & Metal Species**



Meteor ablation deposits metallic atoms





Lidar detection of persistent meteor trails during Leonid Shower 1998



### **CEDAR Science: Metals, Chemistry, & Dynamics**



Comparison leads to two empirical corrections: (1) the downward vertical velocity in winter < 1 cm/s in the upper mesosphere;

(2) the wintertime convergence of the meridional flow over the South Pole provides additional input of metallic species.

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<sup>-- [</sup>Gardner et al., 2005]

### **CEDAR Science: Aerosols & Clouds**



# PMC Hemispheric Difference & Fe/PMC Heterogeneous Chemistry





Southern PMC are ~ 1 km Higher than Northern PMC ⇒ Earth Orbital Eccentricity and Gravity Wave Differences

[Chu et al., JGR, 2003, 2006]

Heterogeneous Removal of Mesospheric Fe Atoms by PMC Ice Particles Observed by the Fe Boltzmann Lidar

[Plane et al., Science, 2004]

### **CEDAR Science: Gravity Waves**



Derive gravity wave features from Rayleigh signals obtained at Rothera and South Pole [Yamashita et al., JGR, 2009]

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# Lidar into Future and Space

Lidar is making more and more contributions to atmospheric and space science, especially in the global & whole atmosphere study with emphasis on atmospheric coupling. -- Driven by scientific needs!

➢ Lidar advancement is strongly influenced by the advances in laser, spectroscopy, electro-optics, sensor, filter, telescope, automatic control, etc. Robust & energy-efficient solid-state lasers will cover more wavelengths, which will further revolutionize lidar technology.

- **Essential lidar technologies that could lead to science breakthrough:**
- Mobile solid-state Doppler wind and temperature lidars
- Whole atmosphere lidar concept
- Lidar into space
- 🖵 White-light lidar

➢ More sophisticated lidar applications in ATM & space science are emerging. Global lidar network and mapping with spaceborne lidar would dramatically increase the well-needed database. Lidar data assimilation into atmospheric models should also be considered.

## Mobile Solid-State Doppler Lidar



➤ NSF Major Research Instrumentation (MRI) mobile Fe-resonance/ Rayleigh/Mie Doppler lidar is an advanced resonance fluorescence lidar being developed at the University of Colorado, Boulder. It is based on Pulsed Alexandrite Ring Laser (PARL) for simultaneous measurements of temperature (30-110 km), wind (75-110 km), Fe density (75-115 km), aerosols/clouds (10-100 km), and gravity waves in both day and night through an entire year with high accuracy, precision, & resolution.



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# **Extending Measurement Range**

### Extending downward:

-- Various edge-filter techniques are being developed to probe lower atmosphere wind and temperature simultaneously

-- White-light lidar

See Na-DEMOF poster by Wentao Huang et al.

B

#### 3600 20 km 9 km 6 km 0.07 240 4 km 0.03 120

(B-C)

1.00

### > Extending upward:

[Kasparian et al., 2003]

-- Thermosphere Helium lidar - originally studied by Gerrard et al., JASTP 1997 and is now being developed by UIUC Carlson et al, ILRC, 2008

-- Aurora N<sub>2</sub><sup>+</sup> resonance Boltzmann lidar - originally studied by Collins et al., Appl. Opt. 1997 and is now being developed by UAF Collins group **Driven by Whole Atmosphere Science !!!** 

## From Airborne To Spaceborne





Aim to cover the entire global in real time & continuously



# Lidar into Space



Laser altimeter ⇒ Aerosol/cloud ⇒ DIAL & wind ⇒ Resonance fluorescence

#### **CALIPSO**





ESA feasibility study: to develop a resonance fluorescence Doppler lidar to profile wind & temperature in MLT for wave dynamics, thermal & chemistry studies. 49

# **Concluding Remarks**

➤ Lidar has made significant contributions to atmosphere and space research owing to its high capabilities to simultaneously measure wind, temperature, density, aerosols/clouds, and minor species with high accuracy, precision, and resolution for both day and night.

➢ New lidar technologies are being proposed and developed to further improve the measurement accuracy, precision, and resolution, the measurement range and capability as well as the mobility to enable new scientific endeavors.

➤ Many open questions remain in atmosphere and space research. Among them the atmospheric coupling and tracking gravity waves from the source regions to the breaking areas are being considered. The whole atmosphere lidar and the space-borne MLT lidar are on the horizon.

➤ I still have no good solutions to Dr. Anne Smith's request - to measure atomic oxygen density in the upper atmosphere using lidar technology. Far UV laser source, spaceborne, etc. are posing great challenge to lidar community. But it is also an inspiration for future lidar innovation or even revolution ...

Standing on the shoulder of giant, we are aiming for the future .....

## Lidar References

#### Lidar Class:

A 6000-level graduate class on Lidar Remote Sensing is offered by Professor Xinzhao Chu at University of Colorado. The class is accessible from the web:

http://cires.colorado.edu/science/groups/chu/classes/lidar2008/

### Lidar Books:

- 1). Laser Remote Sensing (2005)
- 2). Lidar (2005)
- 3). Laser Remote Sensing (1984)
- 4). Lidar Applications in Remote Sensing (paper collection)
- 5). Laser Distance Measurements (paper collection)

### Lidar Conference:

International Laser Radar Conference (ILRC) -- biennial